

# Logicist Machine Ethics Can Save Us

**Selmer Bringsjord & Mike Giancola et al.**

Rensselaer AI & Reasoning (RAIR) Lab  
Department of Cognitive Science  
Department of Computer Science  
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Troy, New York 12180 USA

*Are Humans Rational?*  
10/17/2019



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**Note!**

**Test 2 is now on Oct 24.**



# The PAID Problem





# The PAID Problem

$\forall x$  : Agents



# The PAID Problem

$\forall x : \text{Agents}$

**P**owerful(x) + **A**utonomous(x) + **I**ntelligent(x) = **D**angerous(x)/**D**estroy\_Us



# The PAID Problem

$\forall x : \text{Agents}$

**P**owerful(x) + **A**utonomous(x) + **I**ntelligent(x) = **D**angerous(x)/**D**estroy\_Us

$$u(\text{AIA}_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$



# The PAID Problem

$\forall x : \text{Agents}$

**P**owerful(x) + **A**utonomous(x) + **I**ntelligent(x) = **D**angerous(x)/**D**estroy\_Us

## Are Autonomous-and-Creative Machines Intrinsically Untrustworthy?\*

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### Abstract

Given what we find in the case of human cognition, the following principle appears to be quite plausible: An artificial agent that is both autonomous (A) and creative (C) will tend to be, from the viewpoint of a rational, fully informed agent, (U) untrustworthy. After briefly explaining the intuitive, internal structure of this disturbing principle, in the context of the human sphere, we provide a more formal rendition of it designed to apply to the realm of intelligent artificial agents. The more-formal version makes use of some of the basic structures available in one of our cognitive-event calculi, and can be expressed as a (confessedly — for reasons explained — naïve) theorem. We prove the theorem, and provide simple demonstrations of it in action, using a novel theorem prover (ShadowProver). We then end by pointing toward some future defensive engineering measures that should be taken in light of the theorem.

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# The PAID Problem

$\forall x : \text{Agents}$

**P**owerful(x) + **A**utonomous(x) + **I**ntelligent(x) = **D**angerous(x)/**D**estroy\_Us

$$u(\text{AIA}_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$

**Theorem ACU:** In a collaborative situation involving agents  $a$  (as the “trustor”) and  $a'$  (as the “trustee”), if  $a'$  is at once both autonomous and ToM-creative,  $a'$  is untrustworthy from an ideal-observer  $o$ ’s viewpoint, with respect to the action-goal pair  $\langle \alpha, \gamma \rangle$  in question.

**Proof:** Let  $a$  and  $a'$  be agents satisfying the hypothesis of the theorem in an arbitrary collaborative situation. Then, by definition,  $a \neq a'$  desires to obtain some goal  $\gamma$  in part by way of a contributed action  $\alpha_k$  from  $a'$ ,  $a'$  knows this, and moreover  $a'$  knows that  $a$  believes that this contribution will succeed. Since  $a'$  is by supposition ToM-creative,  $a'$  may desire to surprise  $a$  with respect to  $a$ ’s belief regarding  $a'$ ’s contribution; and because  $a'$  is autonomous, attempts to ascertain whether such surprise will come to pass are fruitless since what will happen is locked inaccessibly in the oracle that decides the case. Hence it follows by TRANS that an ideal observer  $o$  will regard  $a'$  to be untrustworthy with respect to the pair  $\langle \alpha, \gamma \rangle$  pair. **QED**



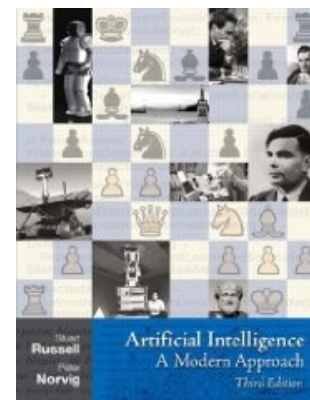
“We’re in *very* deep trouble.”

“We’re in *very* deep trouble.”





# “We’re in *very* deep trouble.”



Unfortunately, not quite as easy as  
this to use logic to save the day ...

# Logic Thwarts Landru!



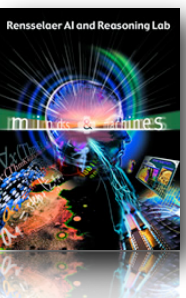
First Suspicion That It's a Mere Computer Running the Show



# Logic Thwarts Landru!



Landru is Indeed Merely a Computer  
(the real Landru having done the programming)



# Logic Thwarts Landru!



Landru Kills Himself Because Kirk/Spock Argue He Has Violated the Prime Directive for Good by Denying Creativity to Others





# Logic Thwarts Nomad!

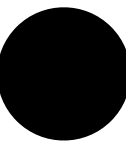
(with the Liar Paradox)



I.

Cognitive Calculi ...

# “Universal Cognitive Calculus”



1666

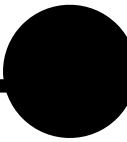


Leibniz

1.5 centuries < Boole!  
2.5 centuries < Kripke

$\int$

Logic Theorist  
(birth of modern logicist AI)



1956



Simon

$DCEC^*$

**Syntax**

$S ::=$

- Object | Agent | Self | Agent | ActionType | Action | Event
- Moment | Boolean | Fluent | Numeric

**action** : Agent  $\times$  ActionType  $\rightarrow$  Action

**initially** : Fluent  $\rightarrow$  Boolean

**holds** : Fluent  $\times$  Moment  $\rightarrow$  Boolean

**happens** : Event  $\times$  Moment  $\rightarrow$  Boolean

**clipped** : Moment  $\times$  Fluent  $\rightarrow$  Boolean

$f ::=$

- initiates : Event  $\times$  Fluent  $\rightarrow$  Boolean
- terminates : Event  $\times$  Fluent  $\rightarrow$  Boolean
- preceives : Moment  $\times$  Boolean  $\rightarrow$  Boolean
- interval : Moment  $\times$  Boolean
- $\ast$  : Agent  $\rightarrow$  Self
- preceives : Agent  $\times$  ActionType  $\times$  Moment  $\rightarrow$  Numeric

$t ::= x : S \mid x : S \mid f(t_1, \dots, t_n)$

$t$  : Boolean  $\mid \neg \phi \mid \phi \wedge \psi \mid \phi \vee \psi$

$P(a, t, \phi) \mid K(a, t, \phi) \mid C(a, t, \phi) \mid S(a, b, t, \phi) \mid S(a, t, \phi)$

$\phi ::=$

- $B(a, t, \phi) \mid D(a, t, holds(f, t')) \mid K(a, t, happens(action(a', a'), t'))$
- $O(a, t, \phi, happens(action(a', a'), t'))$

**Rules of Inference**

$[R_1] \frac{C(a, t, \phi) \rightarrow K(a, t, \phi)}{C(a, t, \phi)} \quad [R_2] \frac{C(a, t, \phi) \rightarrow B(a, t, \phi)}{C(a, t, \phi)}$

$[R_3] \frac{K(a, t, \phi) \rightarrow K(a, t, \phi)}{\phi} \quad [R_4] \frac{K(a, t, \phi) \rightarrow K(a, t, \phi)}{\phi}$

$[R_5] \frac{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow K(a, t, \phi_1) \rightarrow K(a, t, \phi_2)}{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow K(a, t, \phi_1) \rightarrow K(a, t, \phi_2)}$

$[R_6] \frac{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow B(a, t, \phi_1) \rightarrow B(a, t, \phi_2)}{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow B(a, t, \phi_1) \rightarrow B(a, t, \phi_2)}$

$[R_7] \frac{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow C(a, t, \phi_1) \rightarrow C(a, t, \phi_2)}{C(a, t, \phi_1 \rightarrow \phi_2) \rightarrow C(a, t, \phi_1) \rightarrow C(a, t, \phi_2)}$

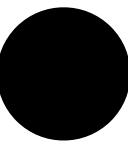
$[R_8] \frac{C(a, t, \phi \rightarrow \psi) \rightarrow \phi \rightarrow \psi}{C(a, t, \phi \rightarrow \psi) \rightarrow \phi \rightarrow \psi} \quad [R_9] \frac{C(a, t, \phi \rightarrow \psi) \rightarrow \phi \rightarrow \psi}{C(a, t, \phi \rightarrow \psi) \rightarrow \phi \rightarrow \psi}$

$[R_{10}] \frac{B(a, t, \phi) \rightarrow \phi \rightarrow \psi}{B(a, t, \phi) \rightarrow \phi \rightarrow \psi} \quad [R_{11}] \frac{B(a, t, \phi) \rightarrow \phi \rightarrow \psi}{B(a, t, \phi) \rightarrow \phi \rightarrow \psi}$

$[R_{12}] \frac{S(a, t, \phi) \rightarrow B(a, t, \phi)}{S(a, t, \phi) \rightarrow B(a, t, \phi)} \quad [R_{13}] \frac{K(a, t, happens(action(a', a'), t'))}{K(a, t, happens(action(a', a'), t'))}$

$[R_{14}] \frac{P(a, t, \phi) \rightarrow B(a, t, O(a', t, \phi, happens(action(a', a'), t')))}{P(a, t, \phi) \rightarrow B(a, t, O(a', t, \phi, happens(action(a', a'), t')))}$

$[R_{15}] \frac{O(a, t, \phi, happens(action(a', a'), t'))}{O(a, t, \phi, happens(action(a', a'), t'))}$



2019



AI of Today: What Would Leibniz Say?

“Sorry, not impressed.”

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II.

Early Progress With Our Calculi:  
Simple Dilemmas;  
Non-Akratic Robots

# NewScientist

Ethical robots save humans

# NewScientist

Ethical robots save humans





# Informal Definition of Akrasia

# Informal Definition of Akrasia



# Informal Definition of Akrasia



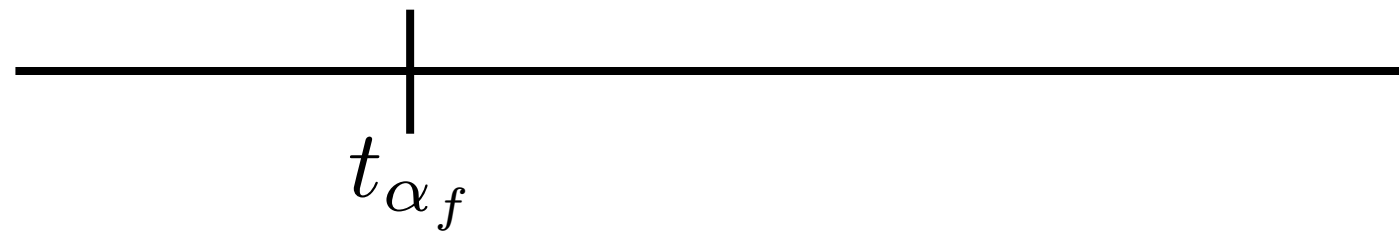
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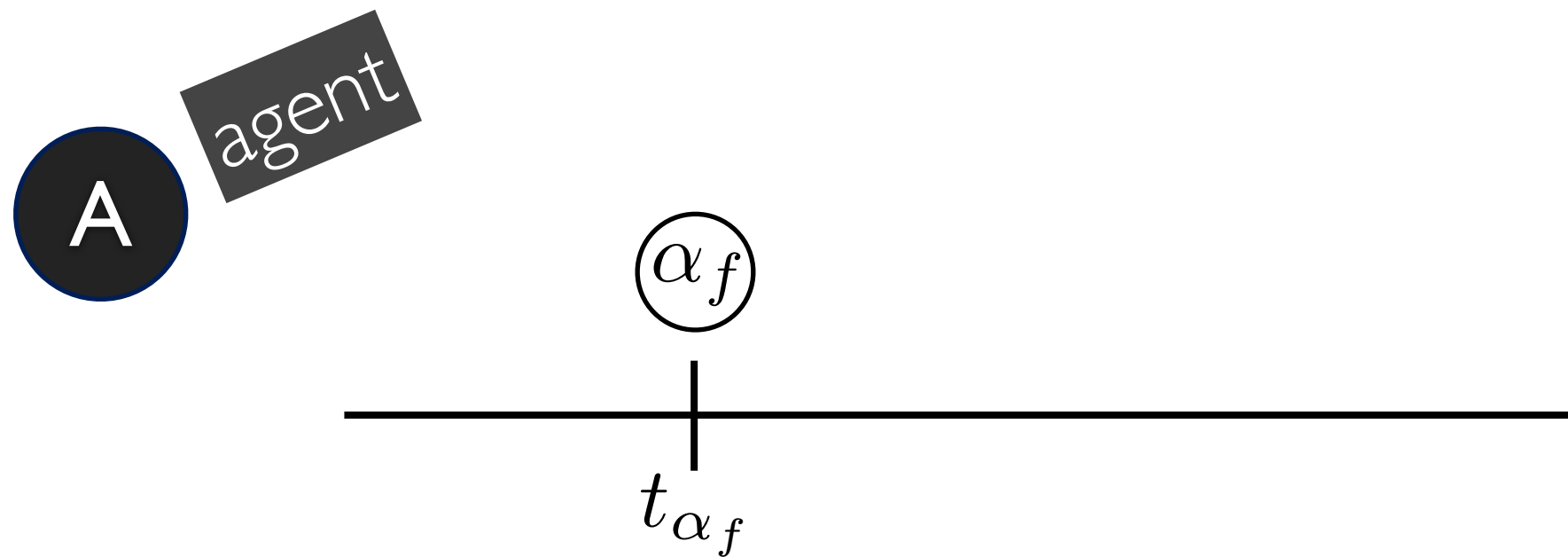
# Informal Definition of Akrasia



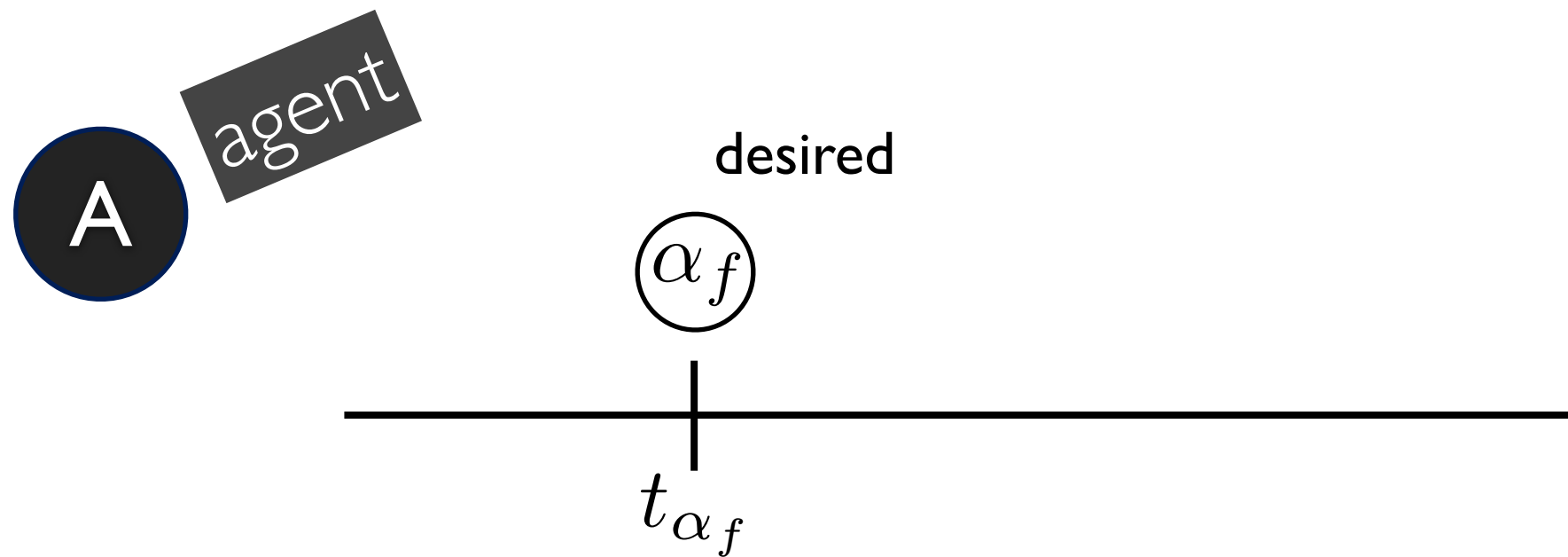
# Informal Definition of Akrasia



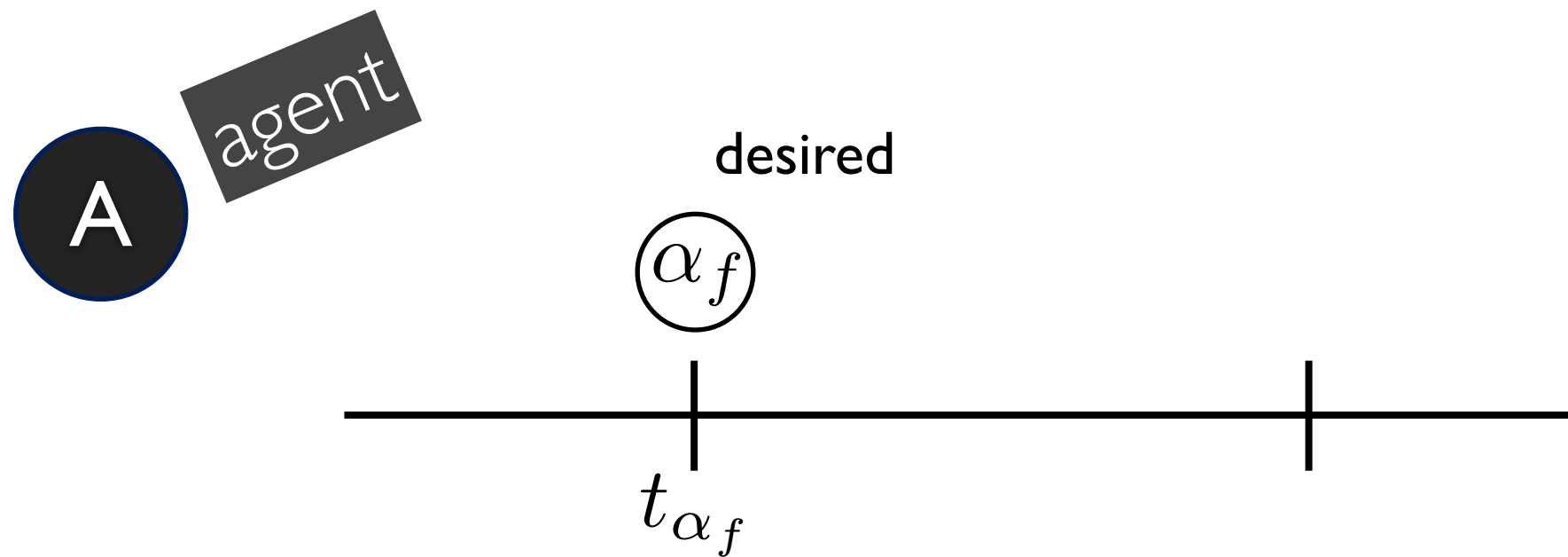
# Informal Definition of Akrasia



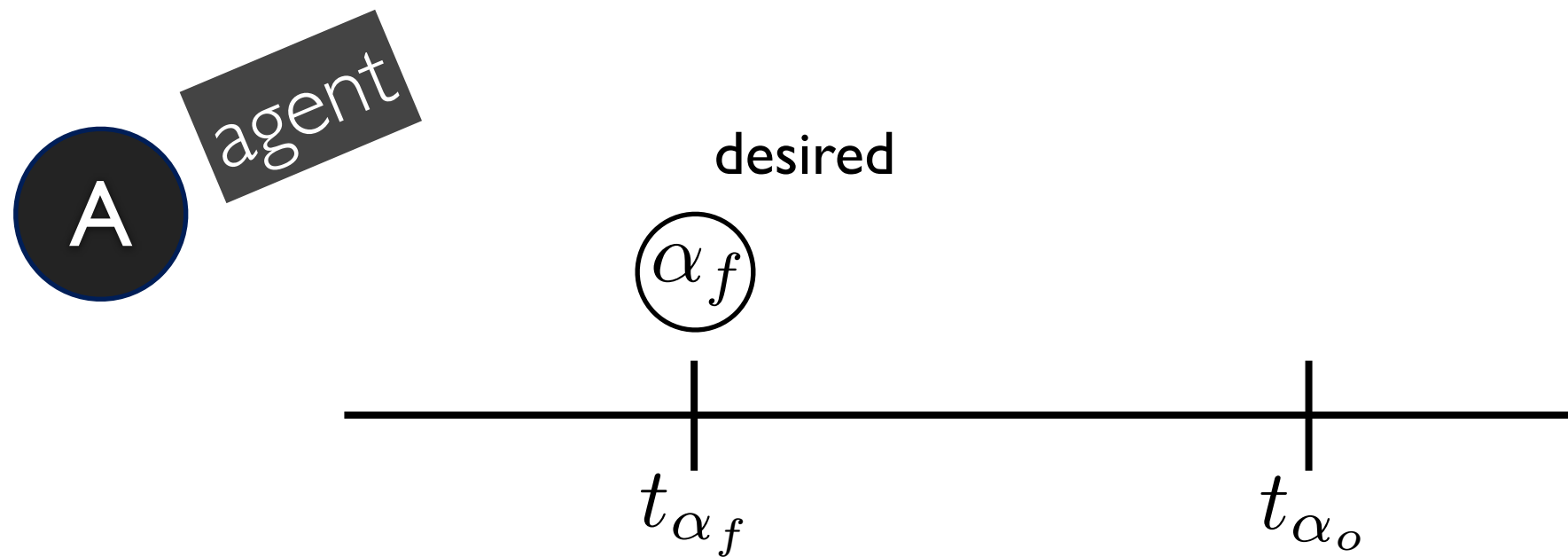
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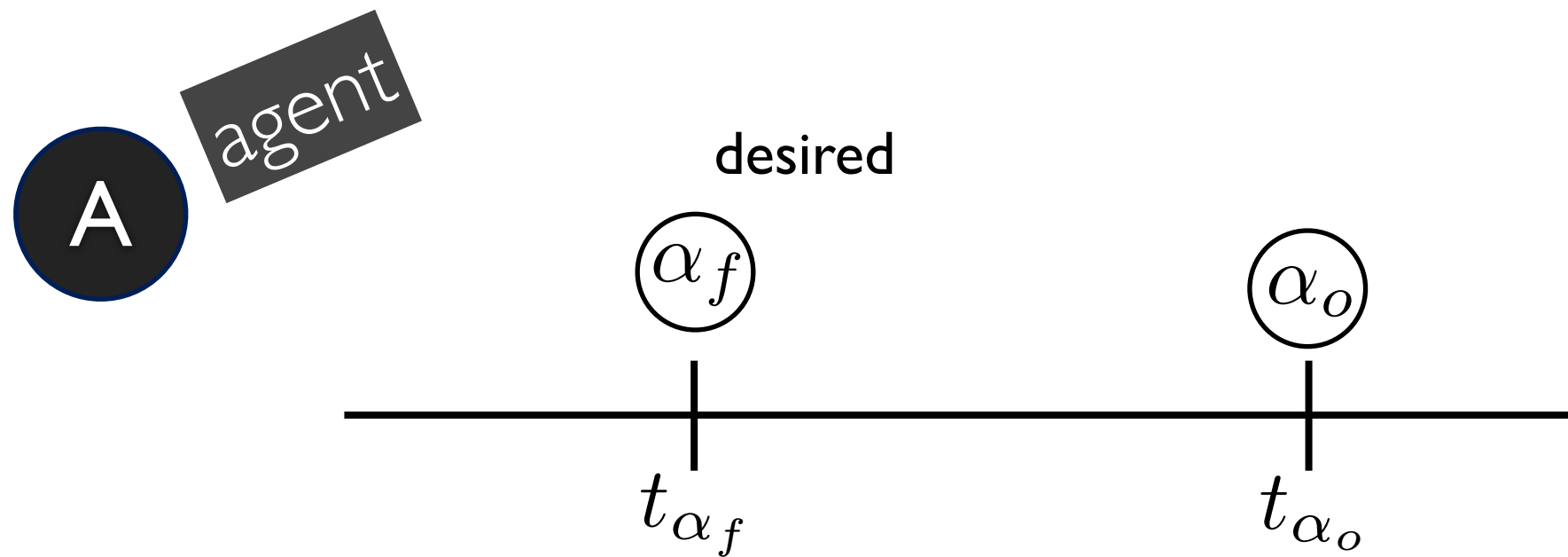
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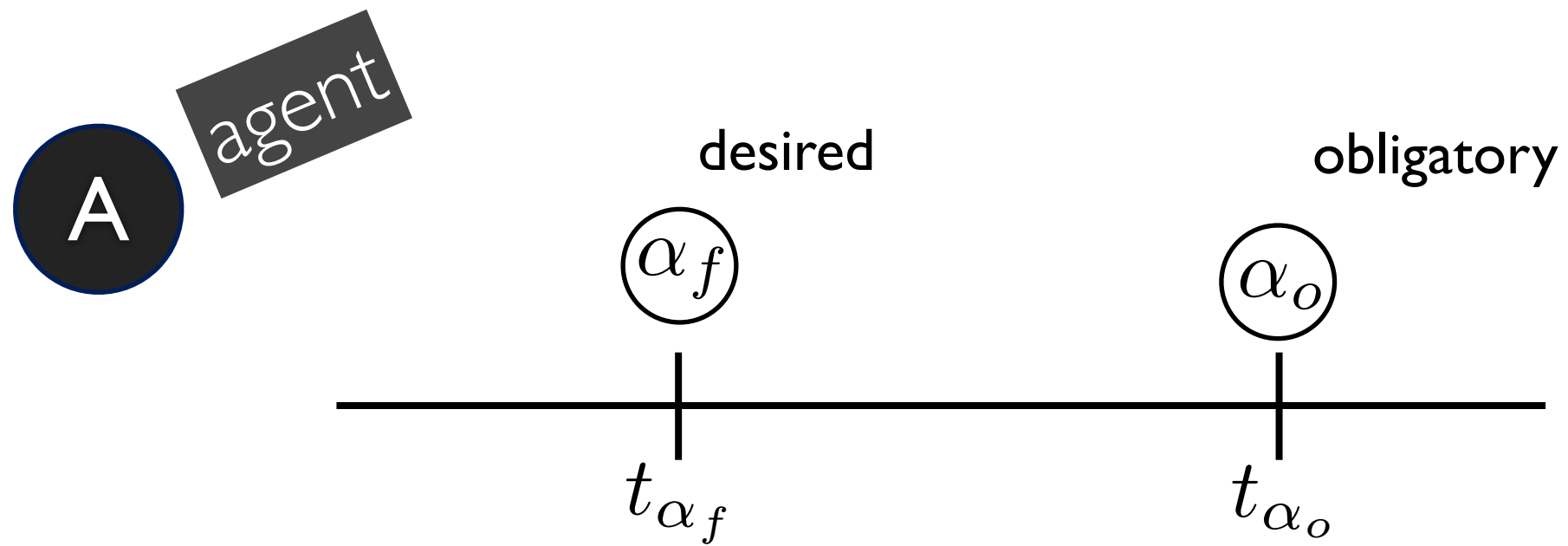
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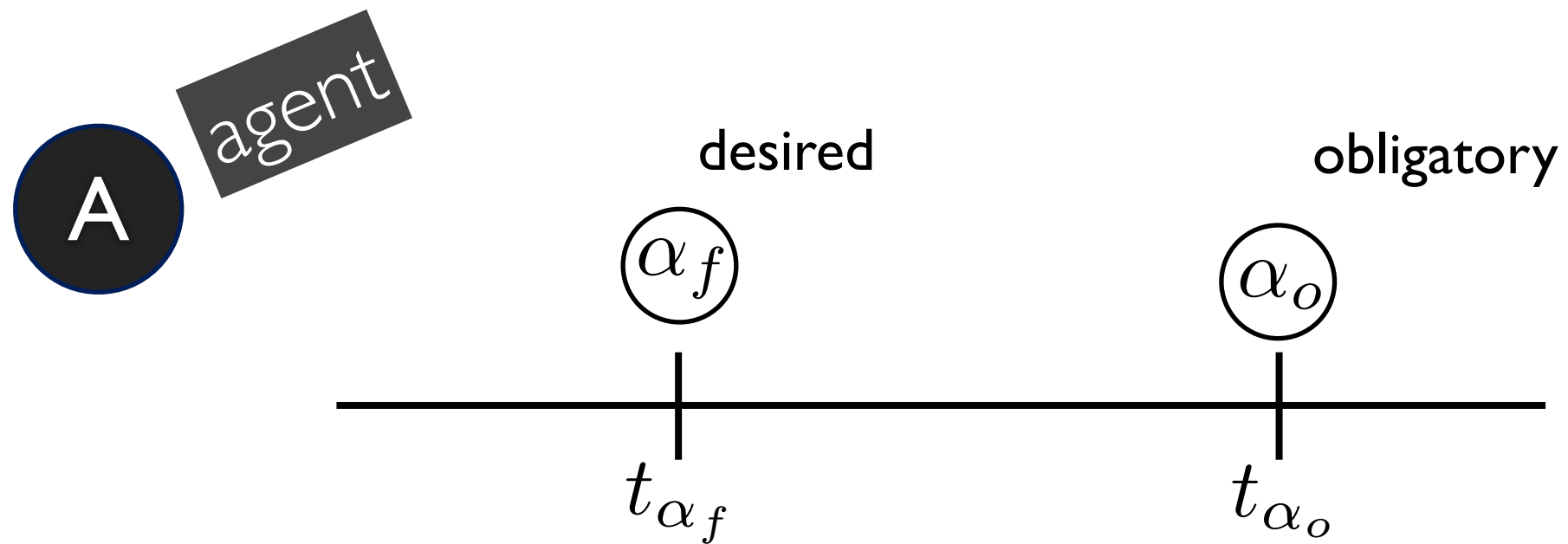


# Informal Definition of Akrasia



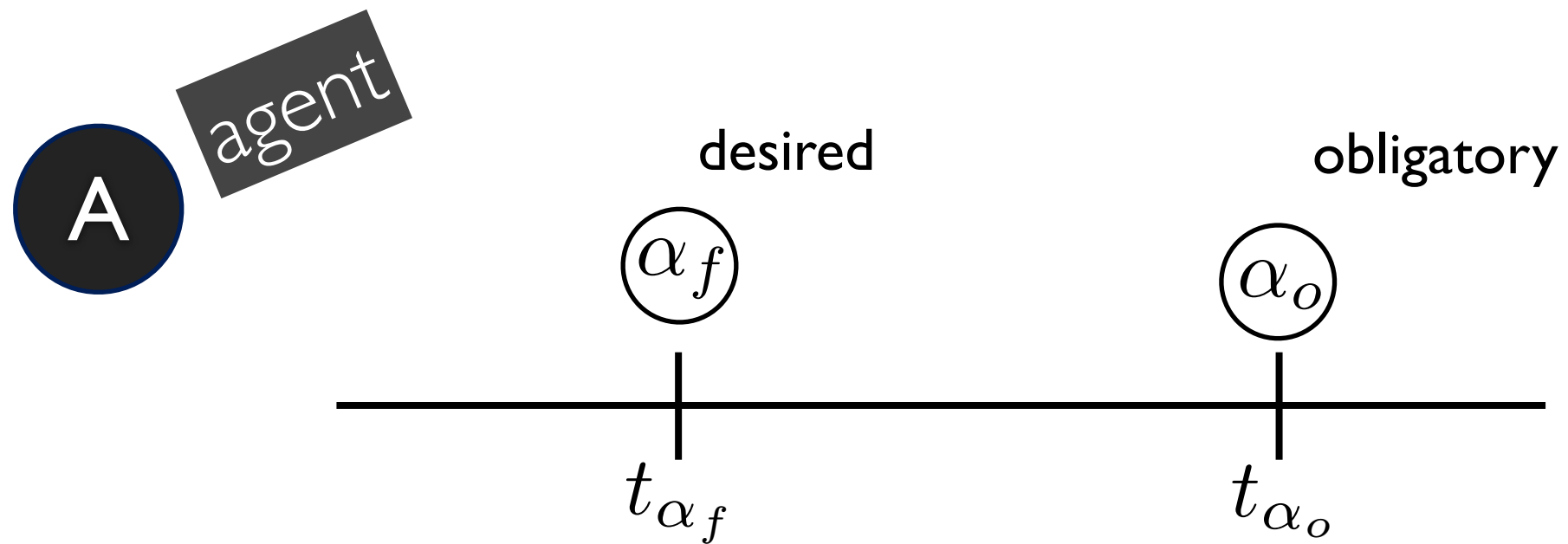


# Informal Definition of Akrasia



If  $\alpha_f$  happens, then  $\alpha_o$  can't happen

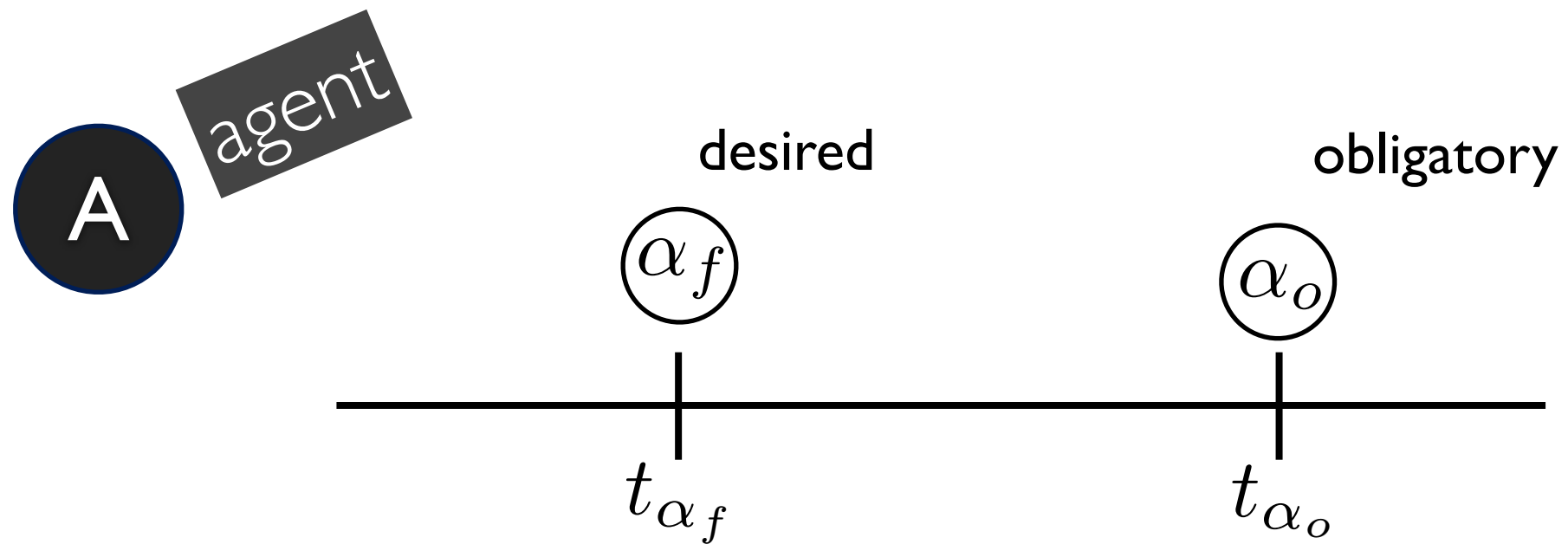
# Informal Definition of Akrasia



If  $\alpha_f$  happens, then  $\alpha_o$  can't happen



# Informal Definition of Akrasia



If  $\alpha_f$  happens, then  $\alpha_o$  can't happen

A knows this

# Informal Definition of Akrasia

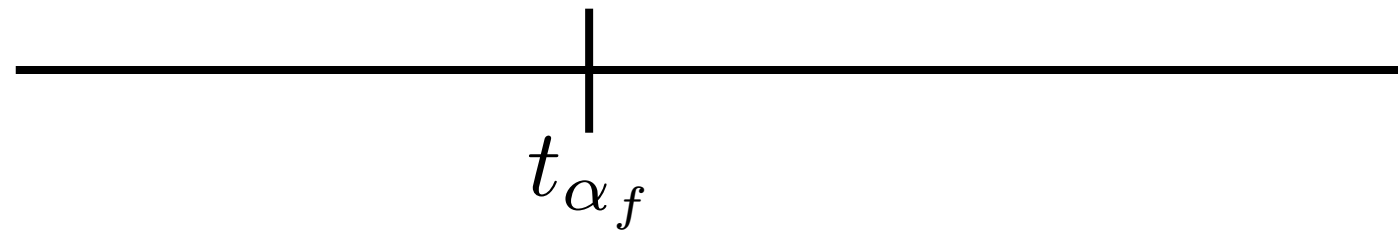
# Informal Definition of Akrasia



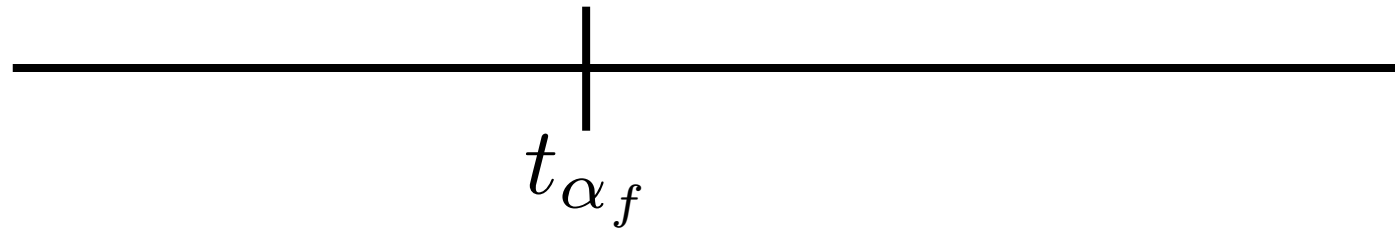
# Informal Definition of Akrasia



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# Informal Definition of Akrasia





# Informal Definition of Akrasia

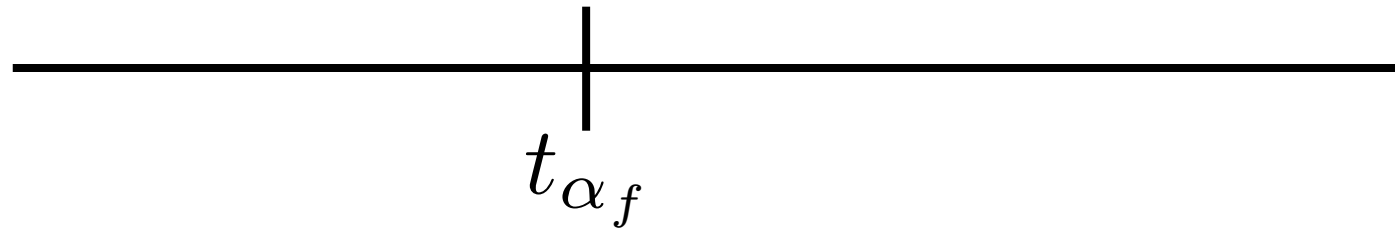
Desire to do  $(\alpha_f)$

A

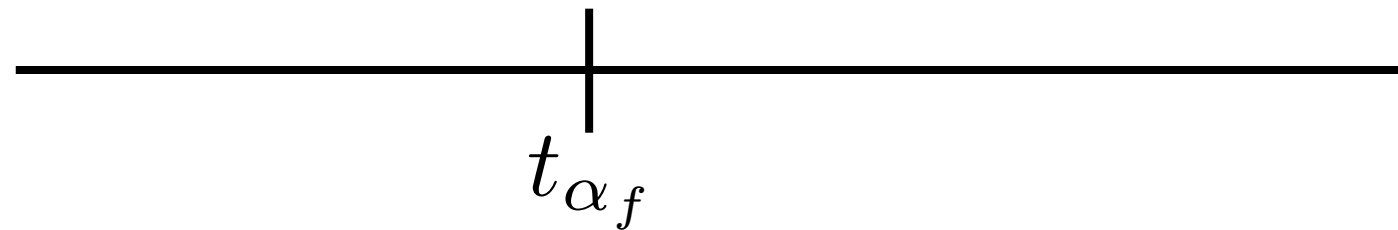
$t_{\alpha_f}$

# Informal Definition of Akrasia

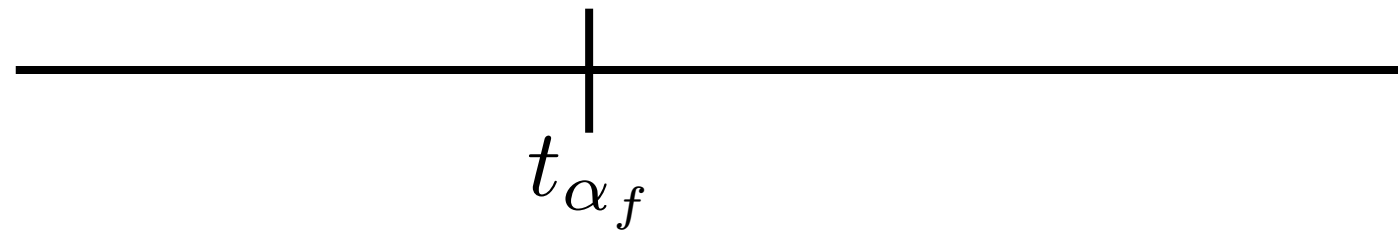
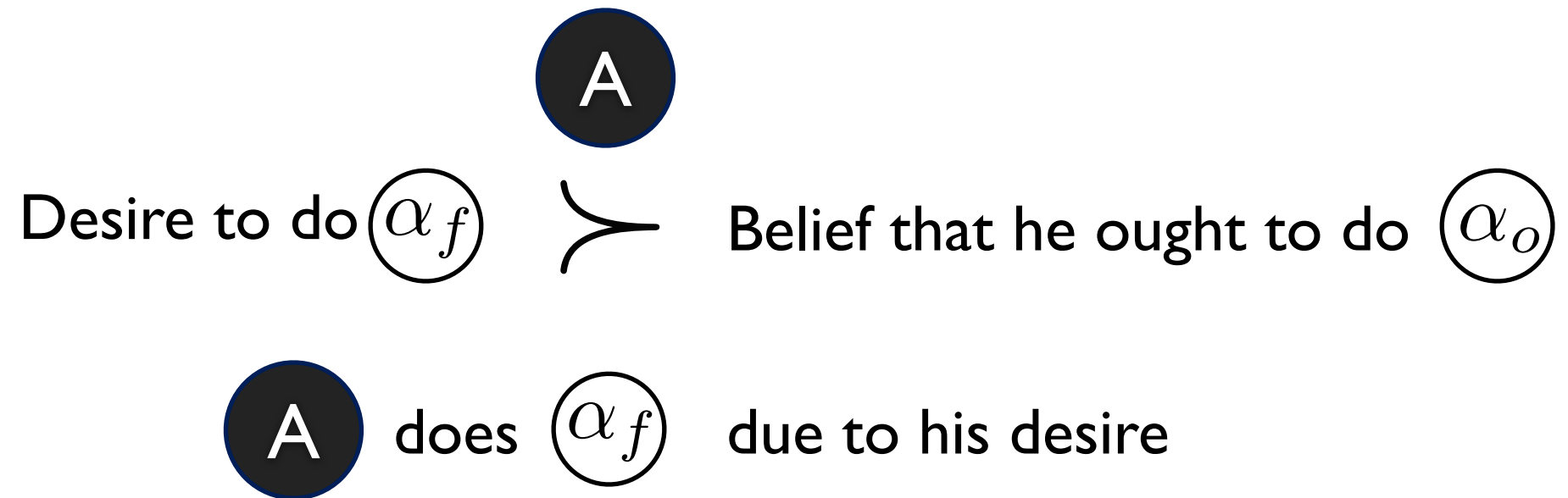
Desire to do  $(\alpha_f)$   $\succ$   $\textcircled{A}$



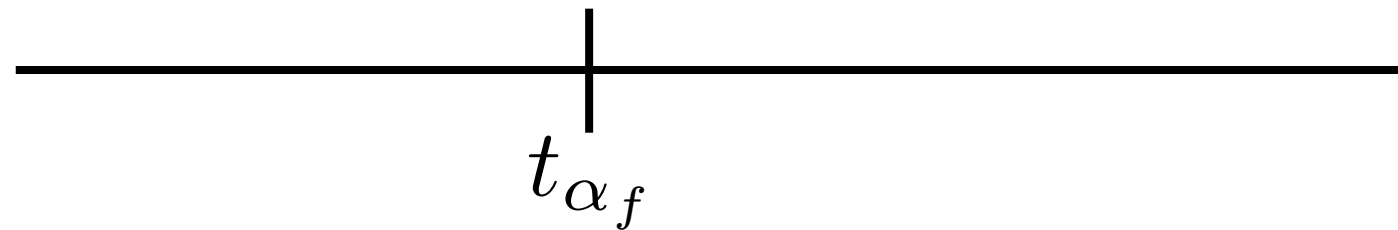
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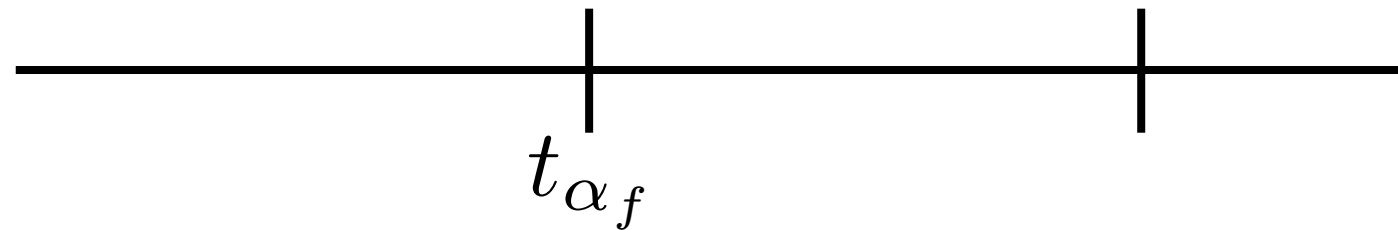
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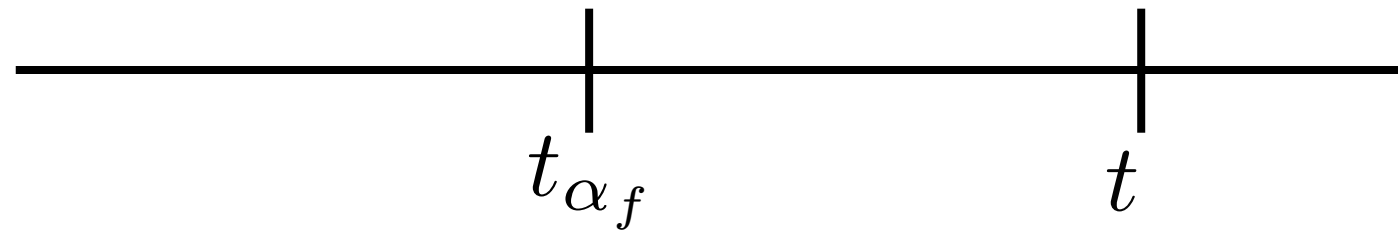
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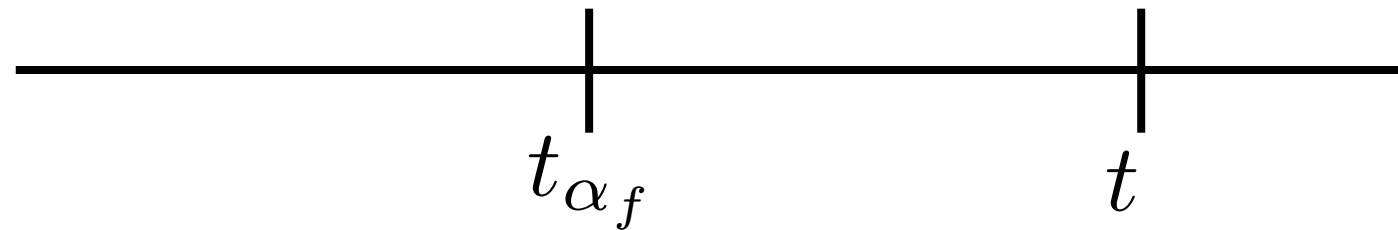


# Informal Definition of Akrasia

 Desire to do  $(\alpha_f)$   $\succ$  Belief that he ought to do  $(\alpha_o)$

 does  $(\alpha_f)$  due to his desire

 believes he should have done  $(\alpha_o)$





# Informal Definition of Akrasia

An action  $\alpha_f$  is (Augustinian) akratic for an agent  $A$  at  $t_{\alpha_f}$  iff the following eight conditions hold:

- (1)  $A$  believes that  $A$  ought to do  $\alpha_o$  at  $t_{\alpha_o}$ ;
- (2)  $A$  desires to do  $\alpha_f$  at  $t_{\alpha_f}$ ;
- (3)  $A$ 's doing  $\alpha_f$  at  $t_{\alpha_f}$  entails his not doing  $\alpha_o$  at  $t_{\alpha_o}$ ;
- (4)  $A$  knows that doing  $\alpha_f$  at  $t_{\alpha_f}$  entails his not doing  $\alpha_o$  at  $t_{\alpha_o}$ ;
- (5) At the time ( $t_{\alpha_f}$ ) of doing the forbidden  $\alpha_f$ ,  $A$ 's desire to do  $\alpha_f$  overrides  $A$ 's belief that he ought to do  $\alpha_o$  at  $t_{\alpha_o}$ .
- (6)  $A$  does the forbidden action  $\alpha_f$  at  $t_{\alpha_f}$ ;
- (7)  $A$ 's doing  $\alpha_f$  results from  $A$ 's desire to do  $\alpha_f$ ;
- (8) At some time  $t$  after  $t_{\alpha_f}$ ,  $A$  has the belief that  $A$  ought to have done  $\alpha_o$  rather than  $\alpha_f$ .

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- (8) At some time  $t$  after  $t_{\alpha_f}$ ,  $A$  has the belief that  $A$  ought to have done  $\alpha_o$  rather than  $\alpha_f$ .

“Regret”

Cast in

$\mathcal{DCEC}^*$

this becomes ...



$$\text{KB}_{rs} \cup \text{KB}_{m_1} \cup \text{KB}_{m_2} \dots \text{KB}_{m_n} \vdash$$

$$D_1 : \mathbf{B}(\mathbf{l}, \text{now}, \mathbf{O}(\mathbf{l}^*, t_\alpha \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

$$D_2 : \mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}))$$

$$D_3 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)$$

$$D_4 : \mathbf{K}\left(\mathbf{l}, \text{now}, \left( \begin{array}{l} \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \\ \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha) \end{array} \right)\right)$$

$$D_5 : \begin{array}{l} \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})) \wedge \\ \neg \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)) \end{array}$$

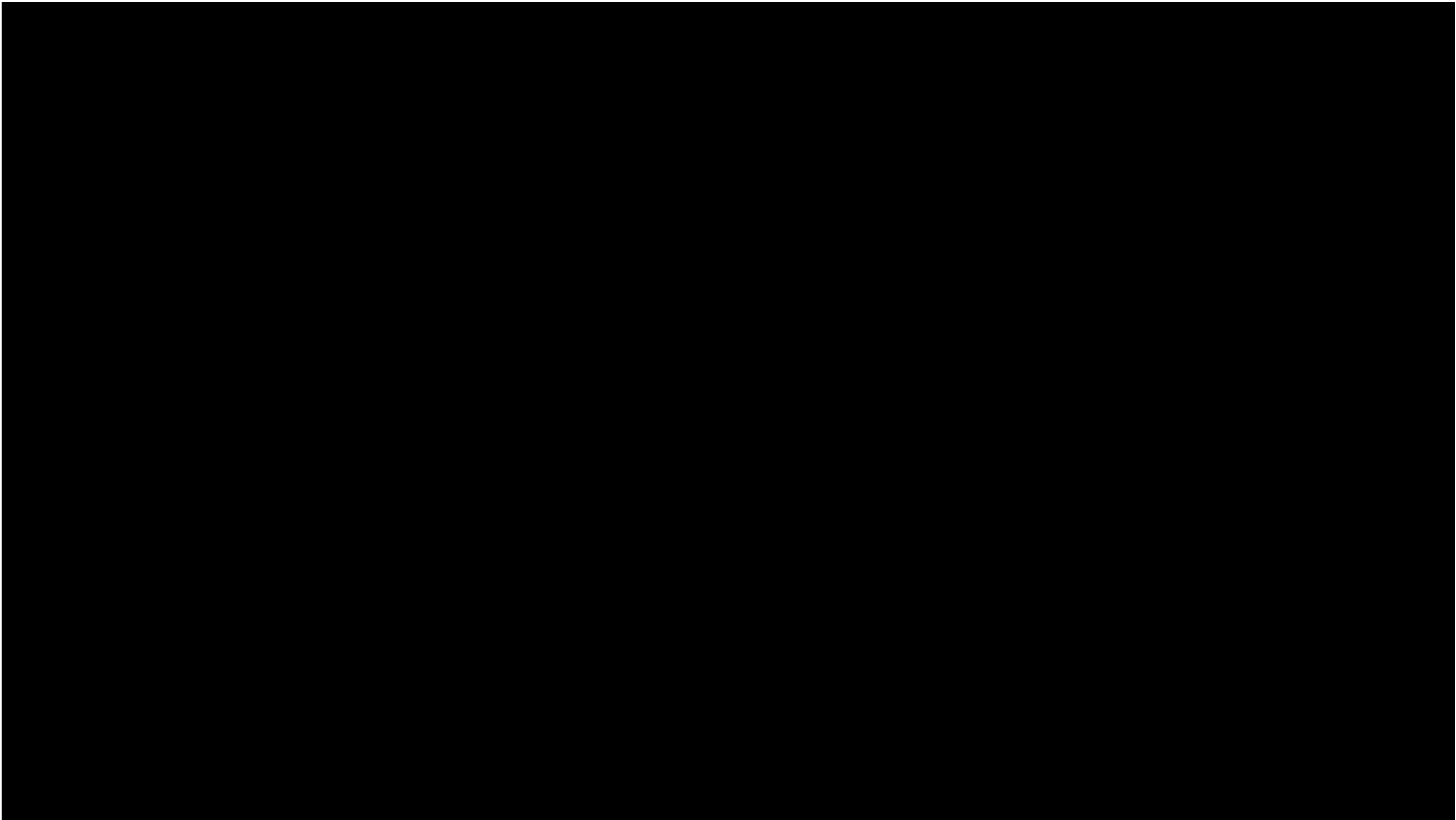
$$D_6 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})$$

$$D_{7a} : \begin{array}{l} \Gamma \cup \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

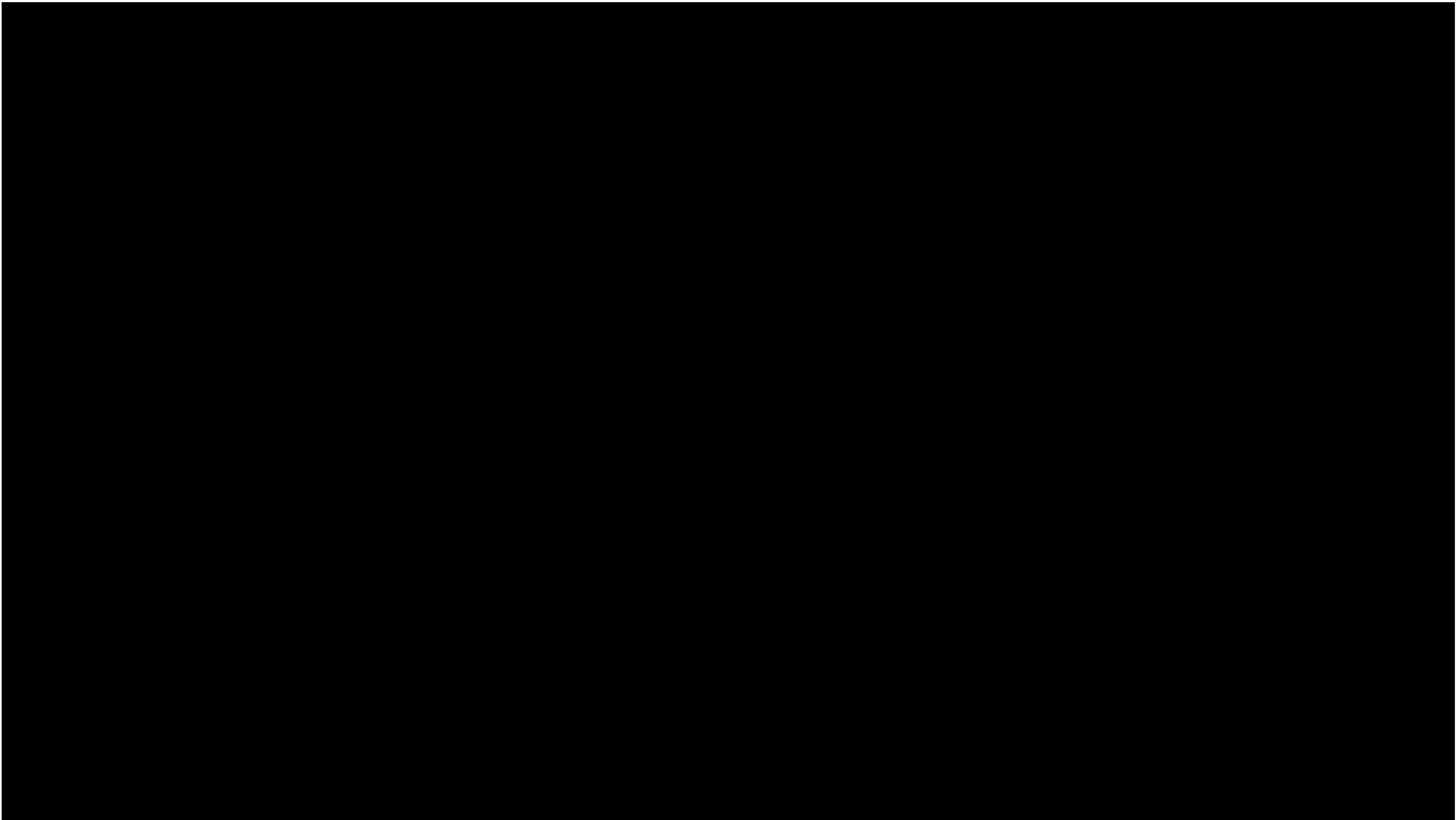
$$D_{7b} : \begin{array}{l} \Gamma - \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \not\vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

$$D_8 : \mathbf{B}(\mathbf{l}, t_f, \mathbf{O}(\mathbf{l}^*, t_\alpha, \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

# Demos ...



# Demos ...





III.

But, a twist befell the logicians ...

Chisholm had argued that the three old 19th-century ethical categories (*forbidden, morally neutral, obligatory*) are not enough — and soul-searching brought me to agreement.

heroic

morally  
neutral

deviltry

civil

forbidden

uncivil

obligatory

# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



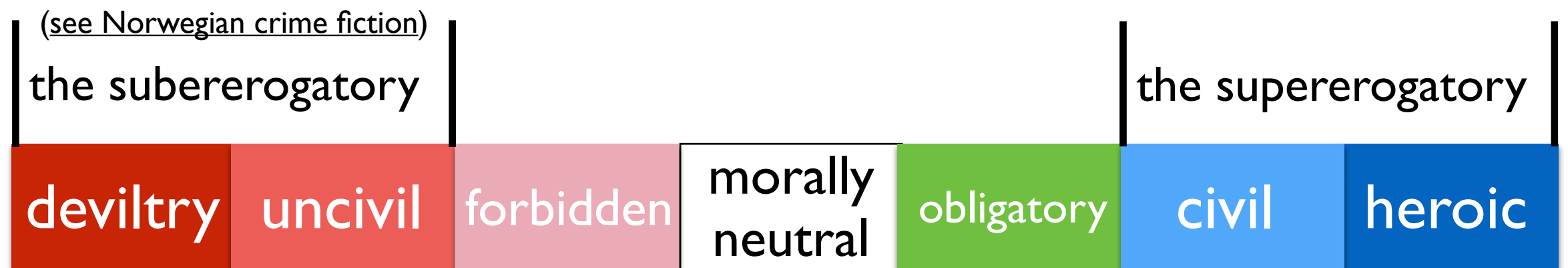
# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*

19th-Century Triad

(see Norwegian crime fiction)

the subererogatory

the supererogatory

deviltry

uncivil

forbidden

morally  
neutral

obligatory

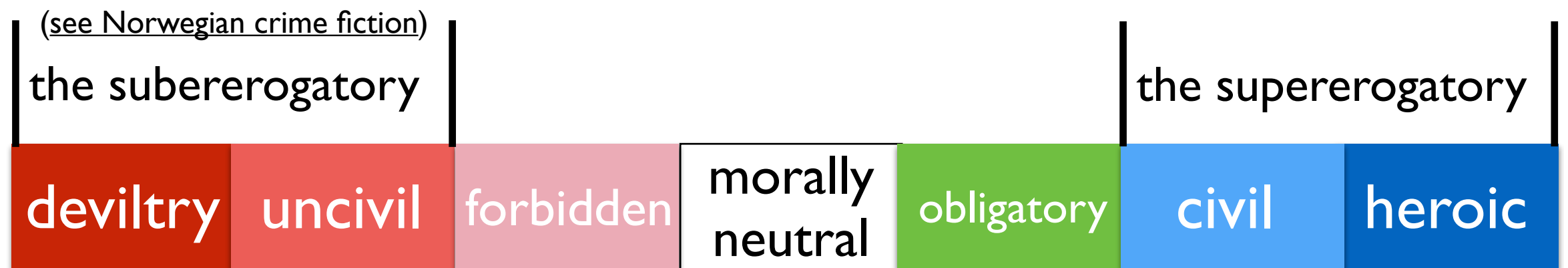
civil

heroic



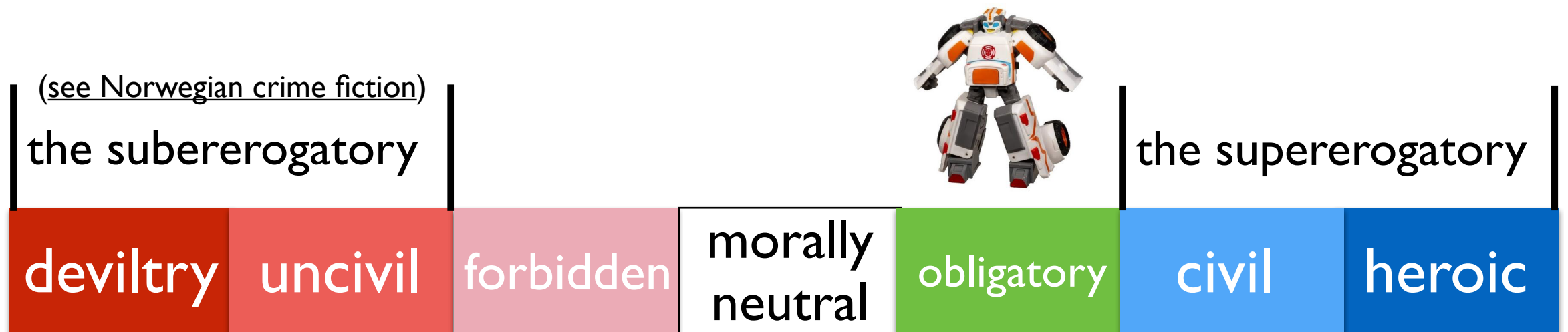
# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



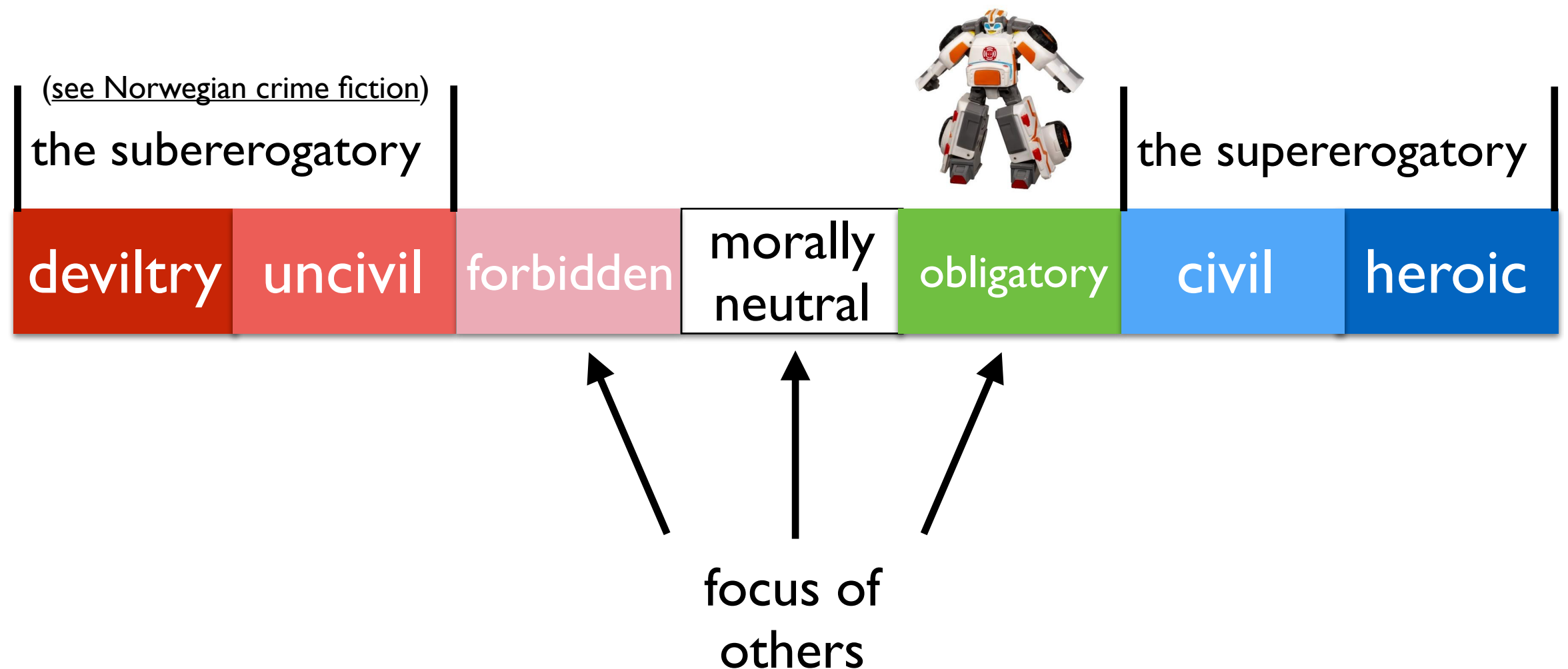
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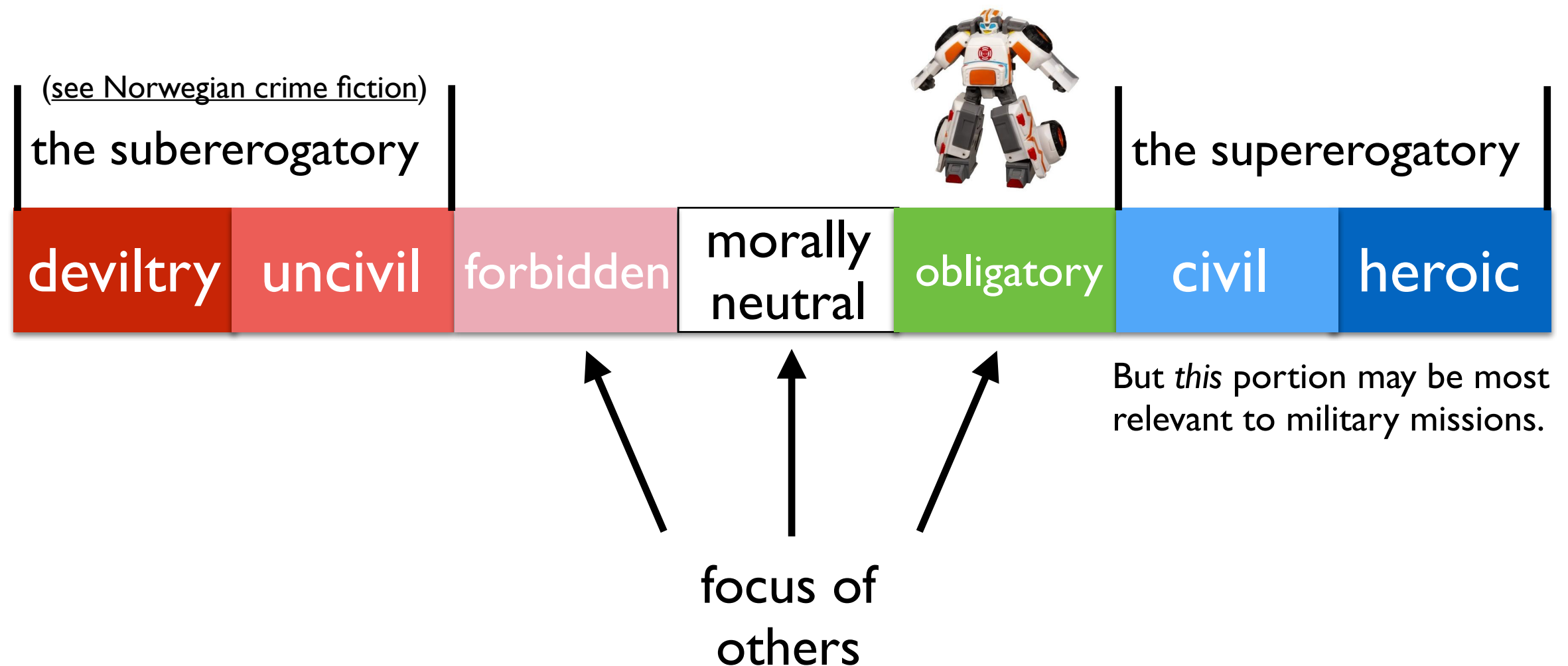
# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*



# Leibnizian Ethical Hierarchy for Persons and Robots:

*EH*





# Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen



# Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen



# Supererogatory<sup>2</sup> Robot Action



Courtesy of RAIR-Lab Researcher Atriya Sen





Courtesy of RAIR-Lab Researcher Atriya Sen



# Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen



# Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen





Courtesy of RAIR-Lab Researcher Atriya Sen



$$\begin{aligned}
& K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\
& K(\text{nao}, t_1, \text{greaterthan}(\text{payoff}(\text{nao}^*, \text{dive}, t_2), \text{threshold})) \\
& K(\text{nao}, t_1, \neg O(\text{nao}^*, t_2, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold}), \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\
& \therefore K(\text{nao}, t_1, S^{\text{UP}2}(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\
& \therefore I(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2)) \\
& \therefore \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2)
\end{aligned}$$


Courtesy of RAIR-Lab Researcher Atriya Sen



$$\begin{aligned}
& K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\
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& \therefore \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2)
\end{aligned}$$


Courtesy of RAIR-Lab Researcher Atriya Sen

# In Talos (available via Web interface); & ShadowProver

Prototypes:

Boolean lessThan Numeric Numeric

Boolean greaterThan Numeric Numeric

ActionType not ActionType

ActionType dive

Axioms:

lessOrEqual(Moment t1,t2)

K(nao,t1,lessThan(payoff(nao,not(dive),t2),threshold))

K(nao,t1,greaterThan(payoff(nao,dive,t2),threshold))

K(nao,t1,not(0(nao,t2,lessThan(payoff(nao,not(dive),t2),threshold),happens(action(nao,dive),t2))))

provable Conjectures:

happens(action(nao,dive),t2)

K(nao,t1,SUP2(nao,t2,happens(action(nao,dive),t2)))

I(nao,t2,happens(action(nao,dive),t2))

# In Talos (available via Web interface); & ShadowProver

Prototypes:

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Boolean greaterThan Numeric Numeric

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provable Conjectures:

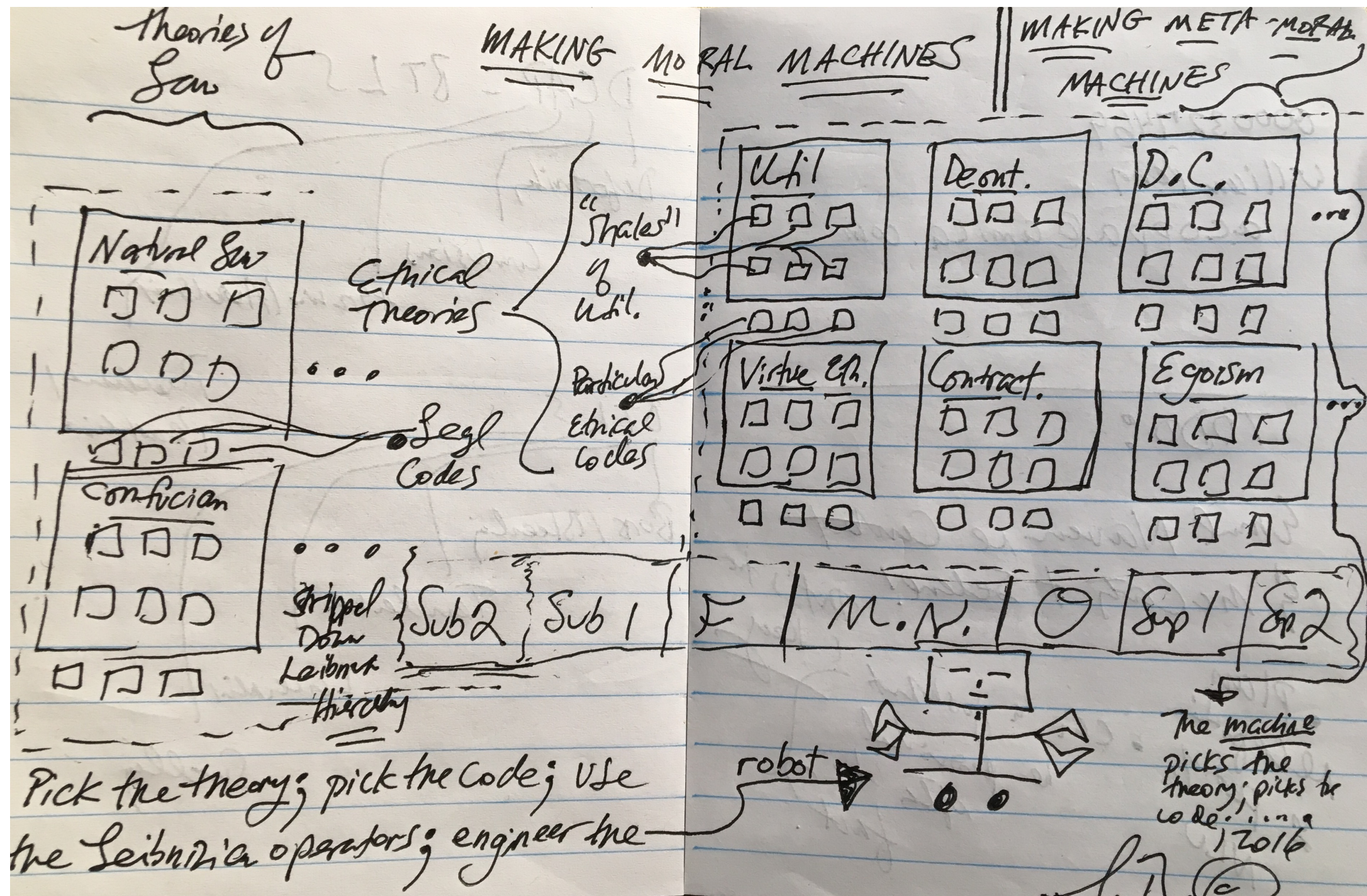
happens(action(nao,dive),t2)

K(nao,t1,SUP2(nao,t2,happens(action(nao,dive),t2)))

I(nao,t2,happens(action(nao,dive),t2))



Hence, we now have *this* overview of the logicist engineering required:

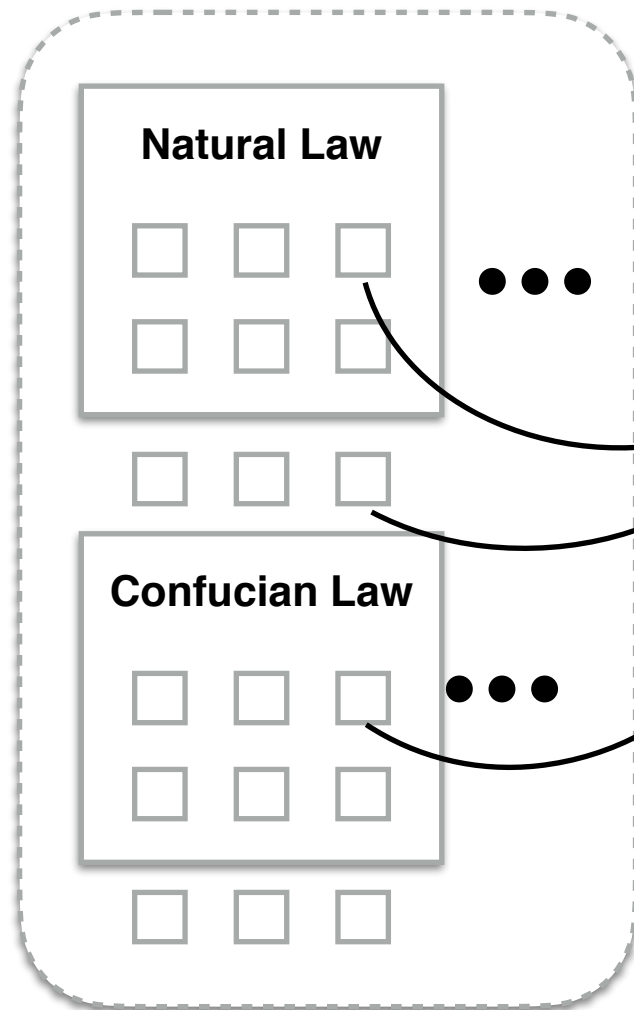




# Making Morally X Machines, in Four Steps

~\$10M

## Theories of Law

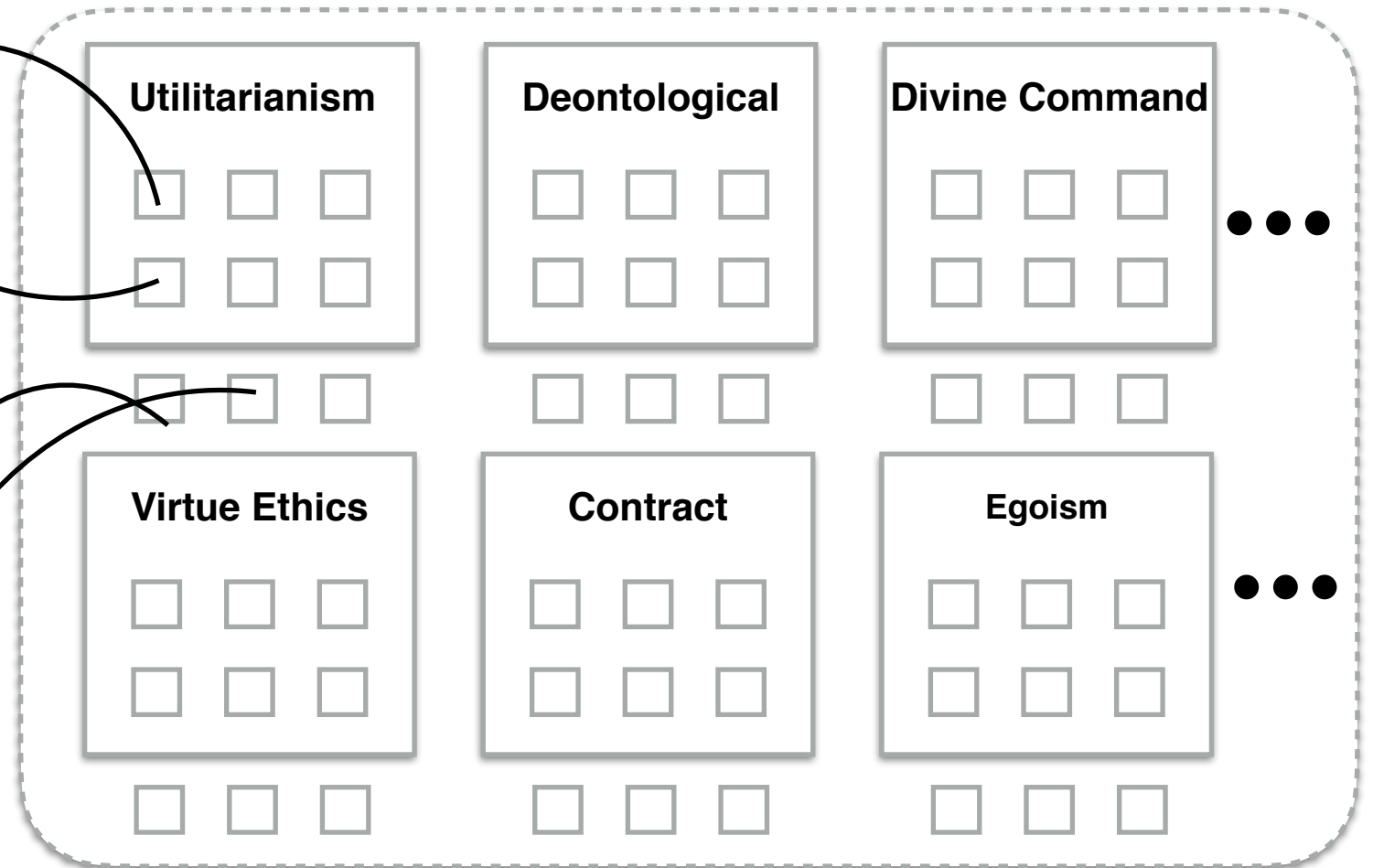


Shades  
of  
Utilitarianism

Legal Codes

Particular  
Ethical Codes

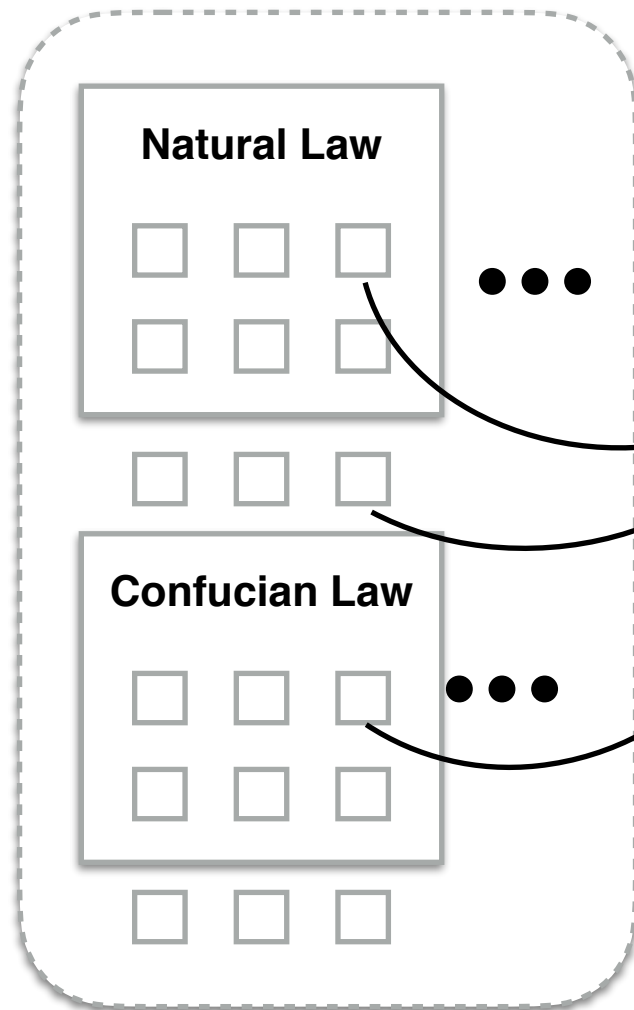
## Ethical Theories



# Making Morally X Machines, in Four Steps

~\$10M

## Theories of Law

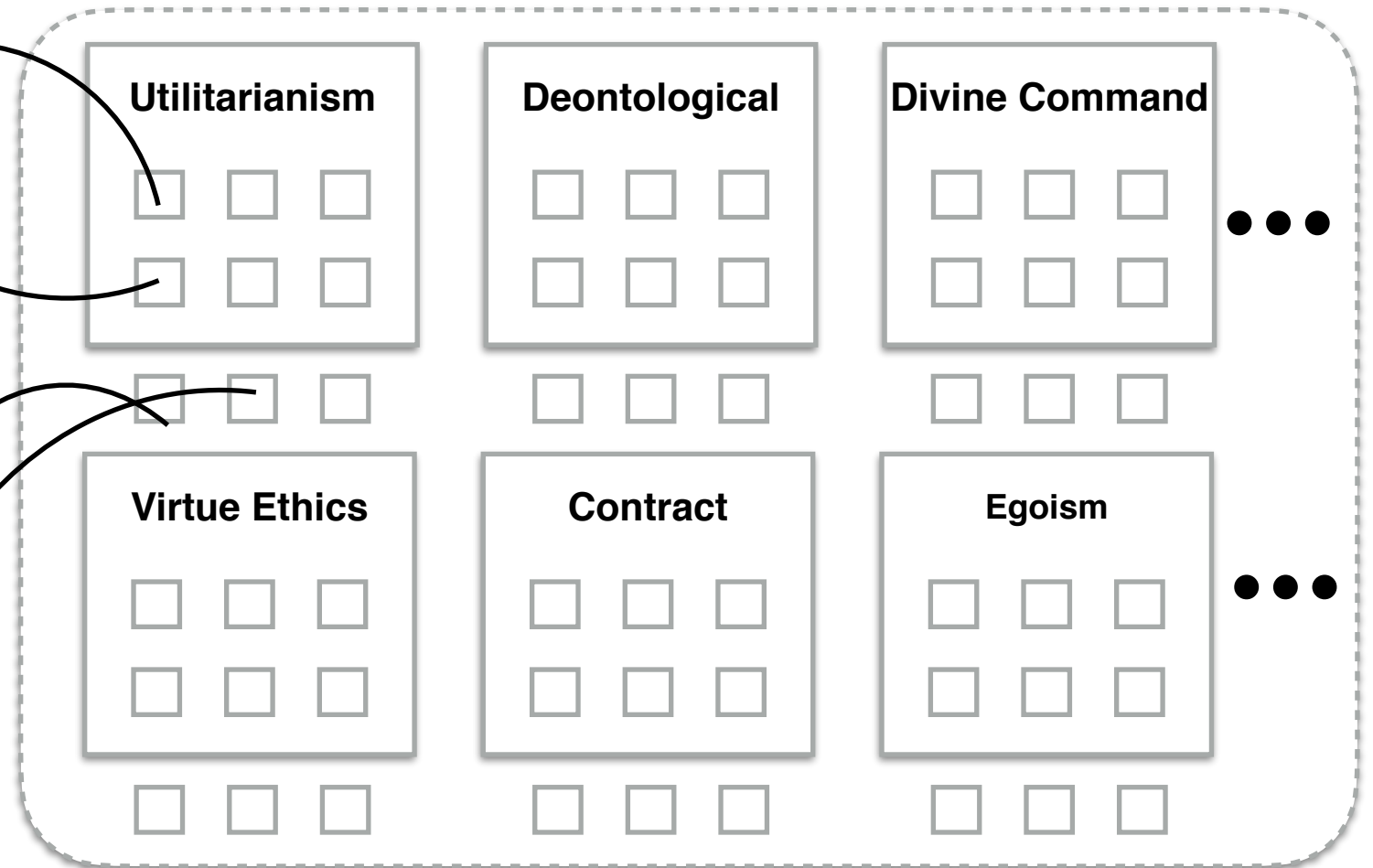


Shades  
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## Ethical Theories



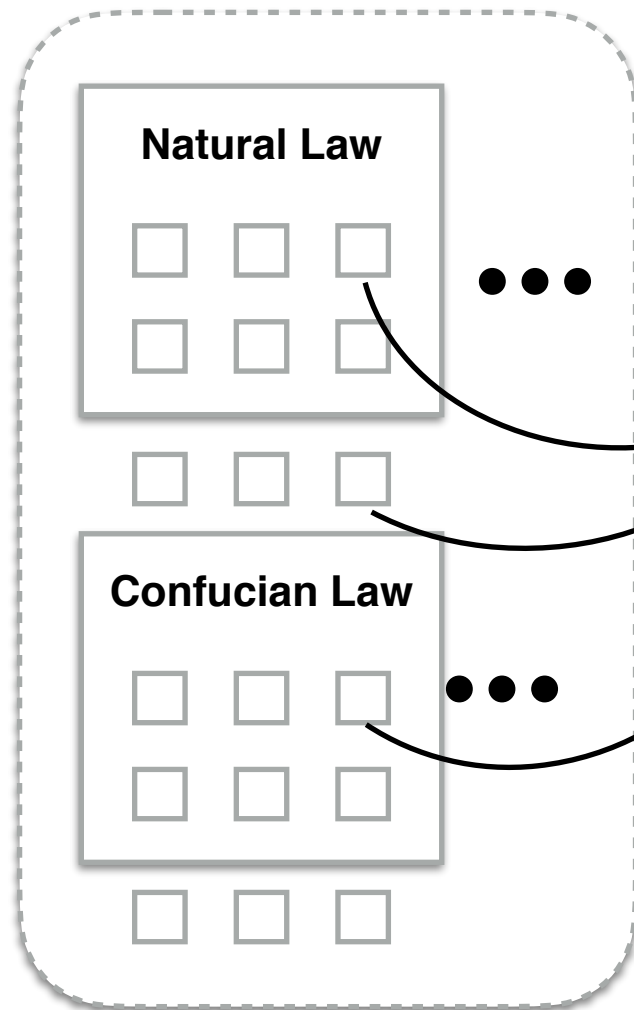
## Step I

1. Pick (a) theories.
2. Pick (a) code(s).
3. Run through EH.
4. Which X in *MMXM*?

# Making Morally X Machines, in Four Steps

~\$10M

## Theories of Law

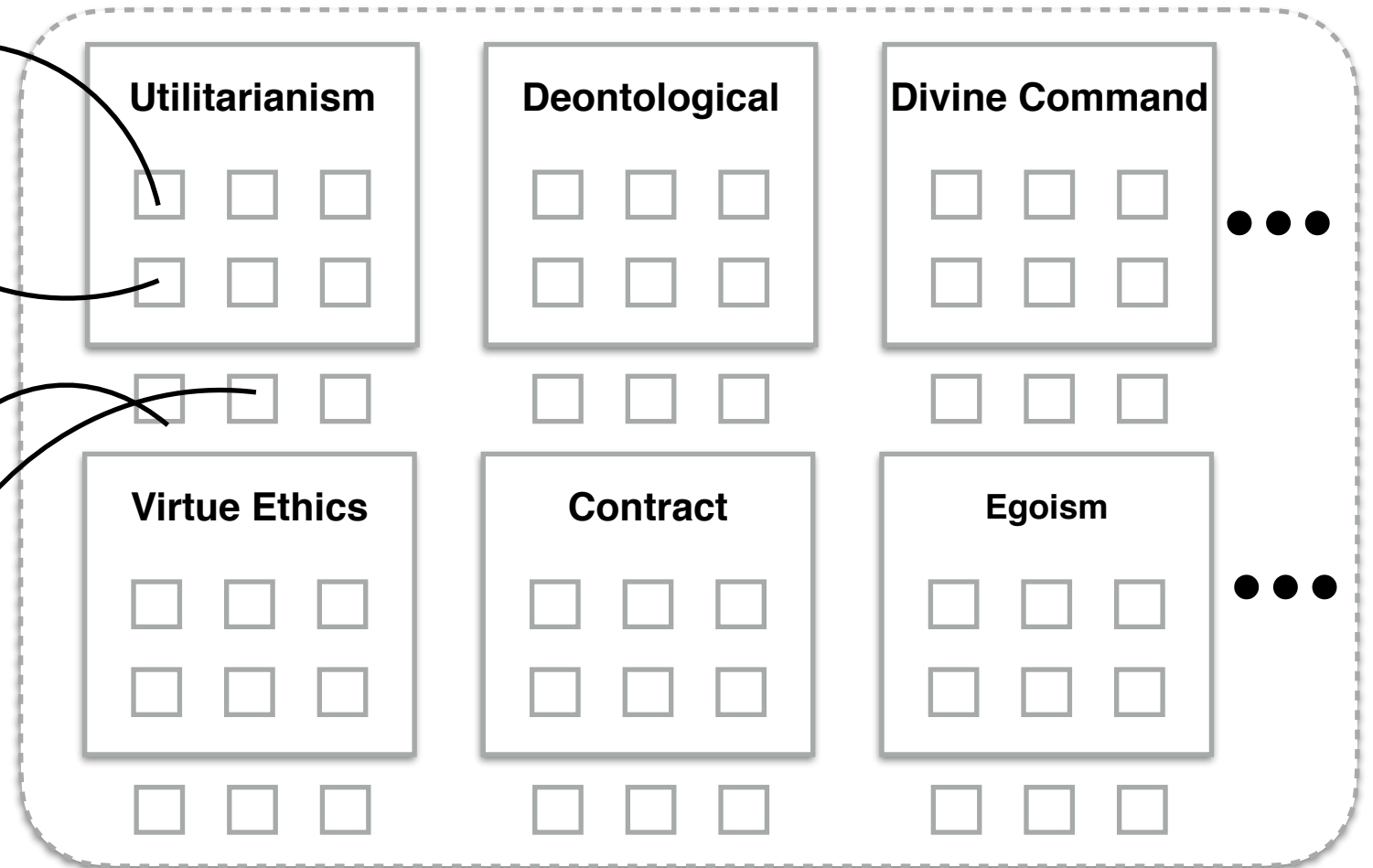


Shades  
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Utilitarianism

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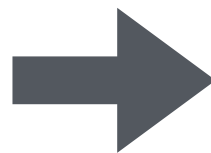
Particular  
Ethical Codes

## Ethical Theories



### Step I

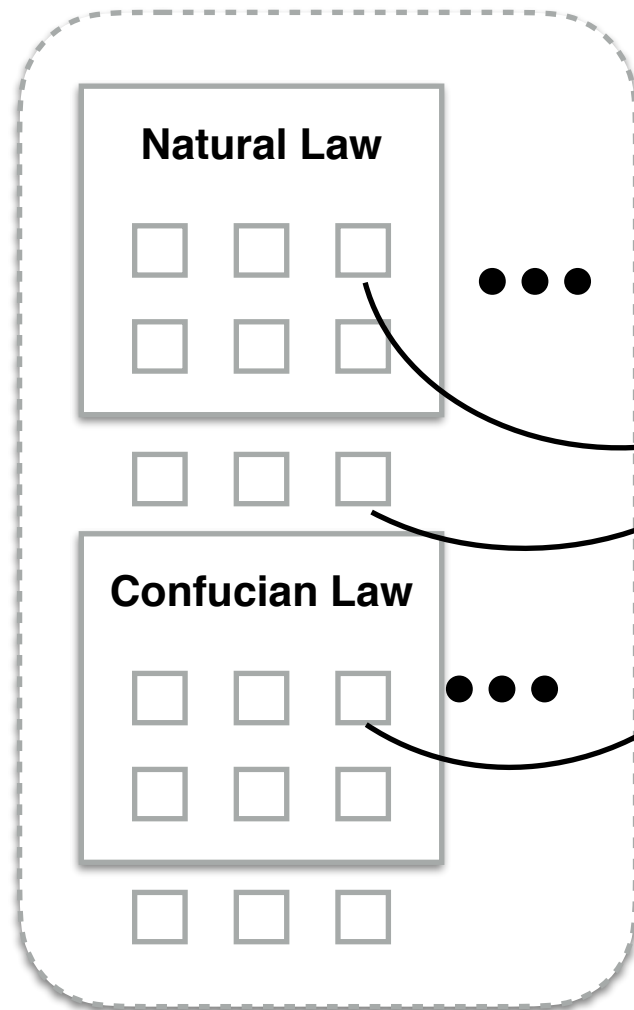
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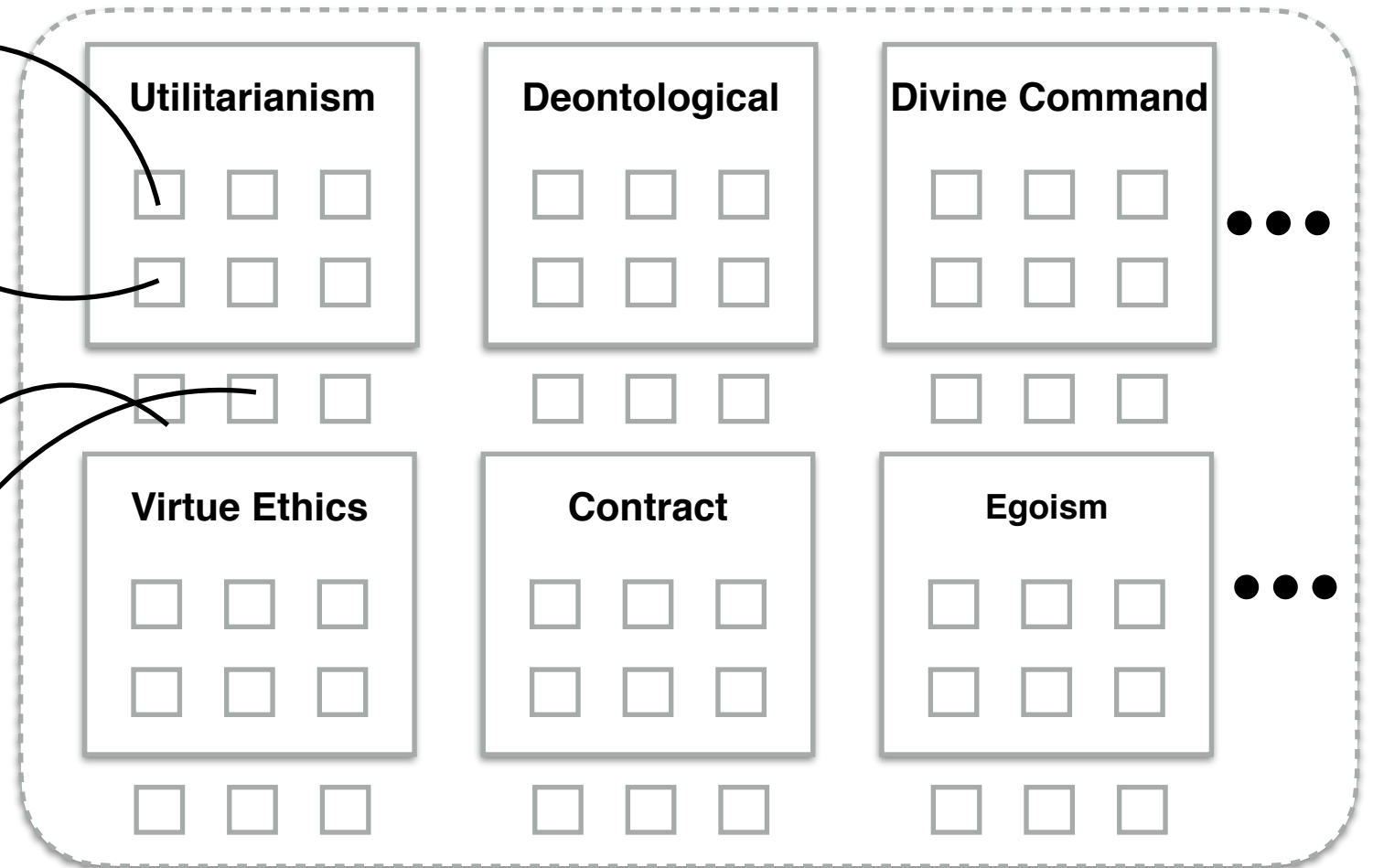


Shades  
of  
Utilitarianism

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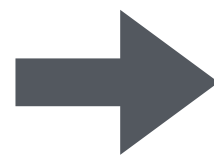
Particular  
Ethical Codes

## Ethical Theories



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### Step 2

Formalize & Automate



Shadow Prover

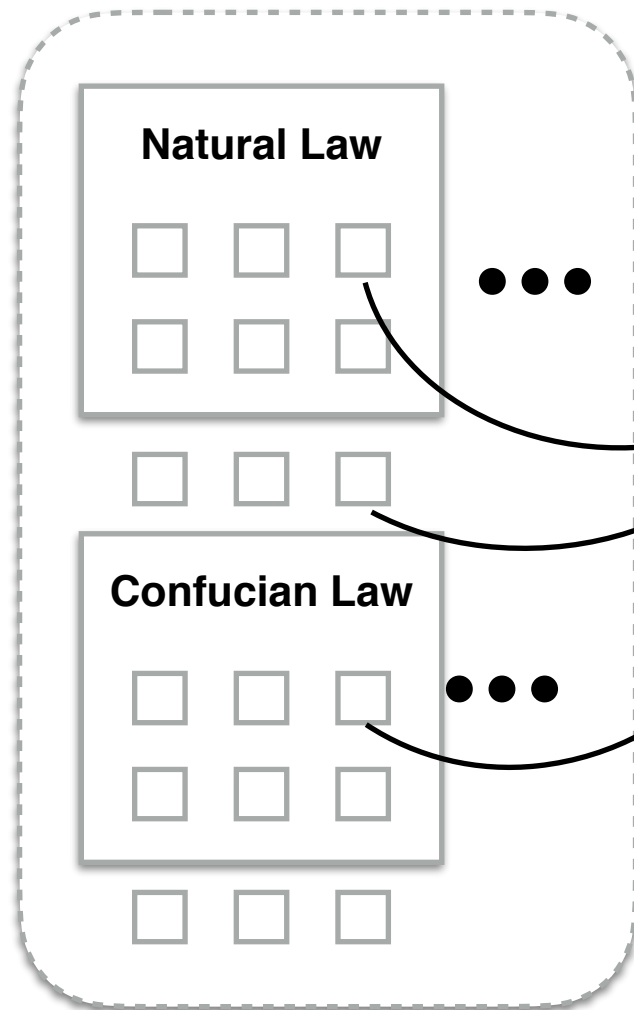


Spectra

# Making Morally X Machines, in Four Steps

~\$10M

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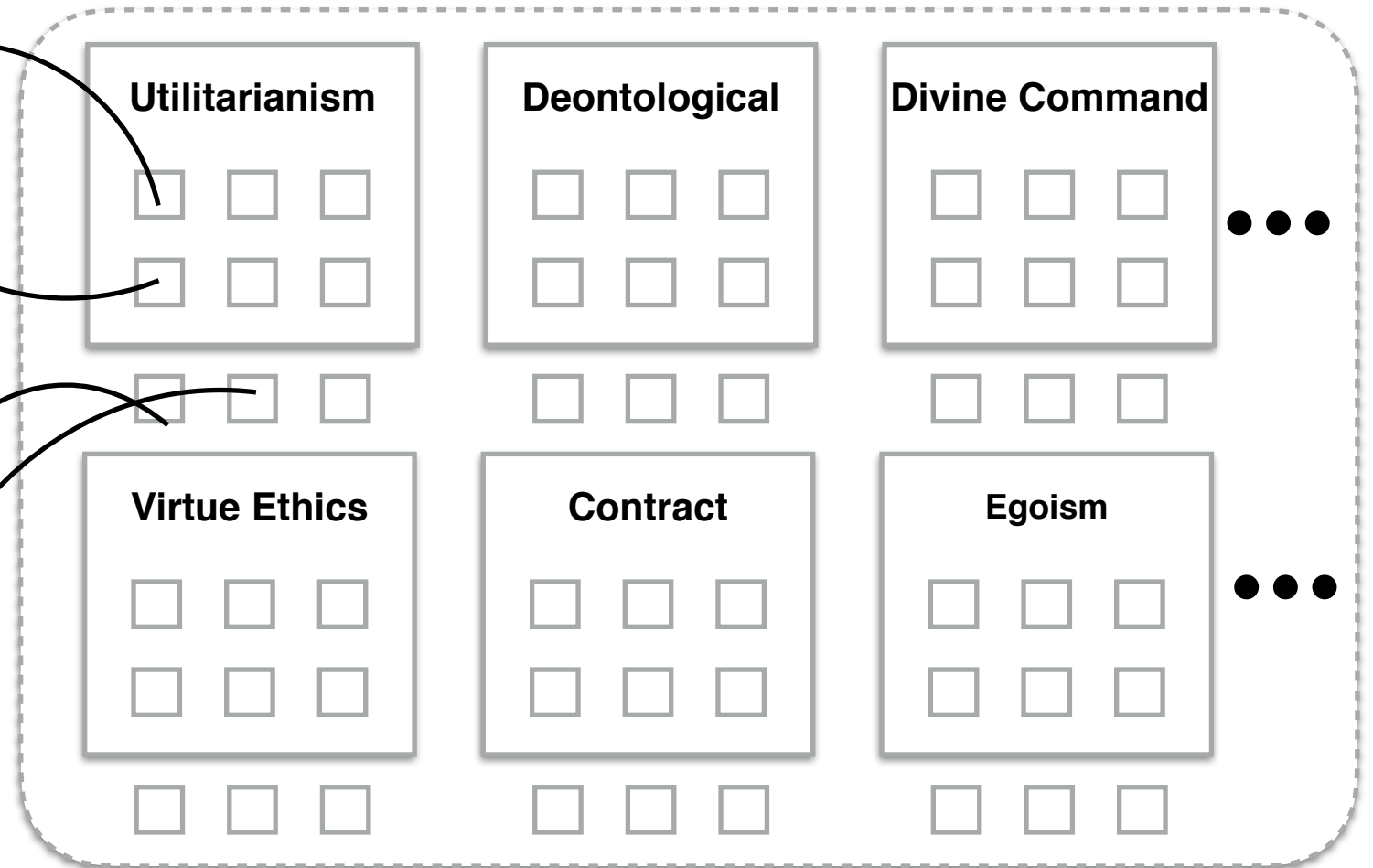


Shades  
of  
Utilitarianism

Legal Codes

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Ethical Codes

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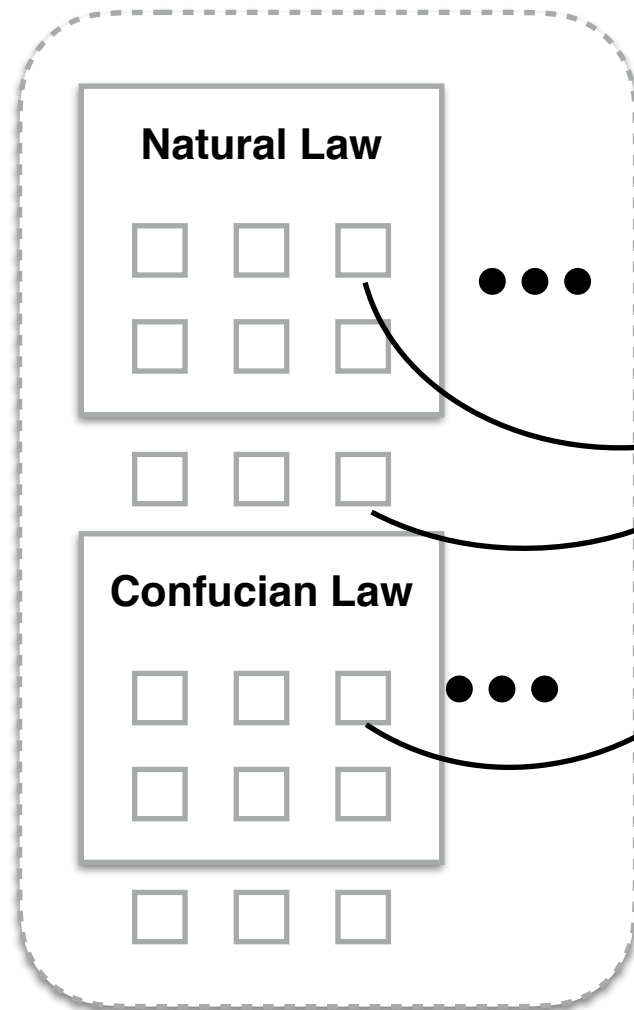


Spectra

# Making Morally X Machines, in Four Steps

~\$10M

## Theories of Law

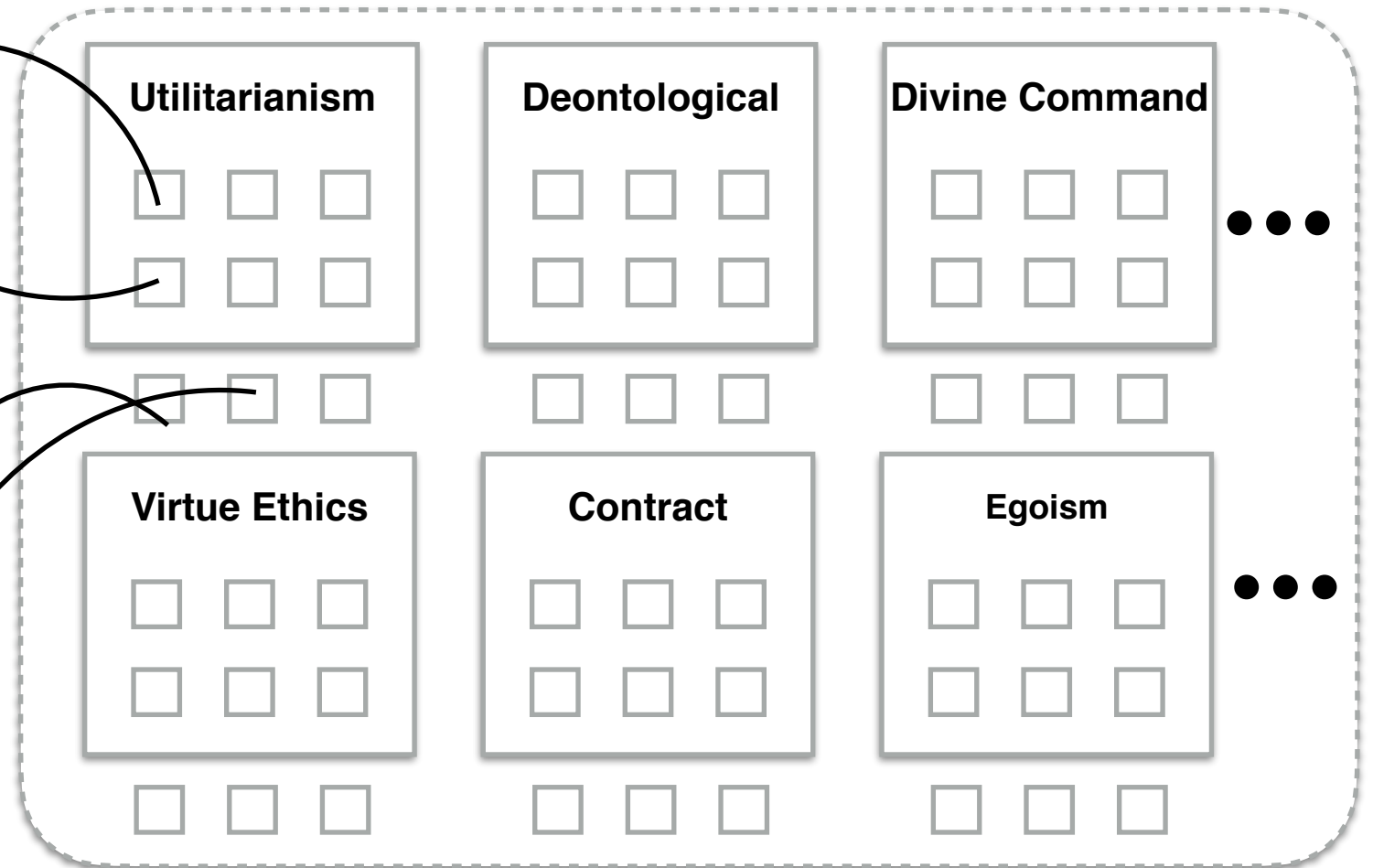


Shades of Utilitarianism

Legal Codes

Particular Ethical Codes

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Shadow Prover



Spectra

### Step 3

Ethical OS



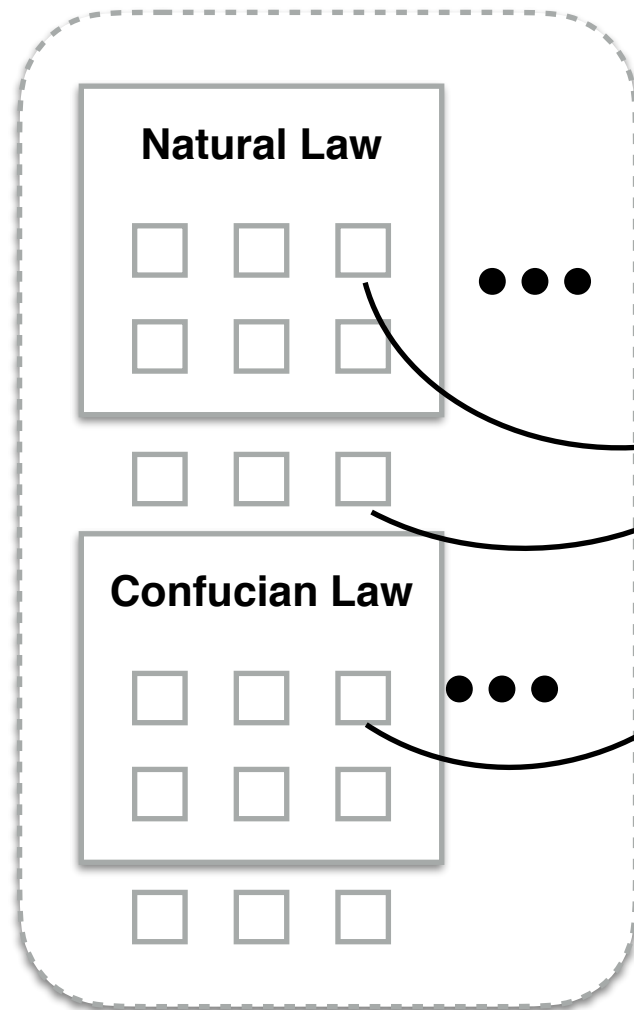
Ethical Substrate

Robotic Substrate

# Making Morally X Machines, in Four Steps

~\$10M

## Theories of Law

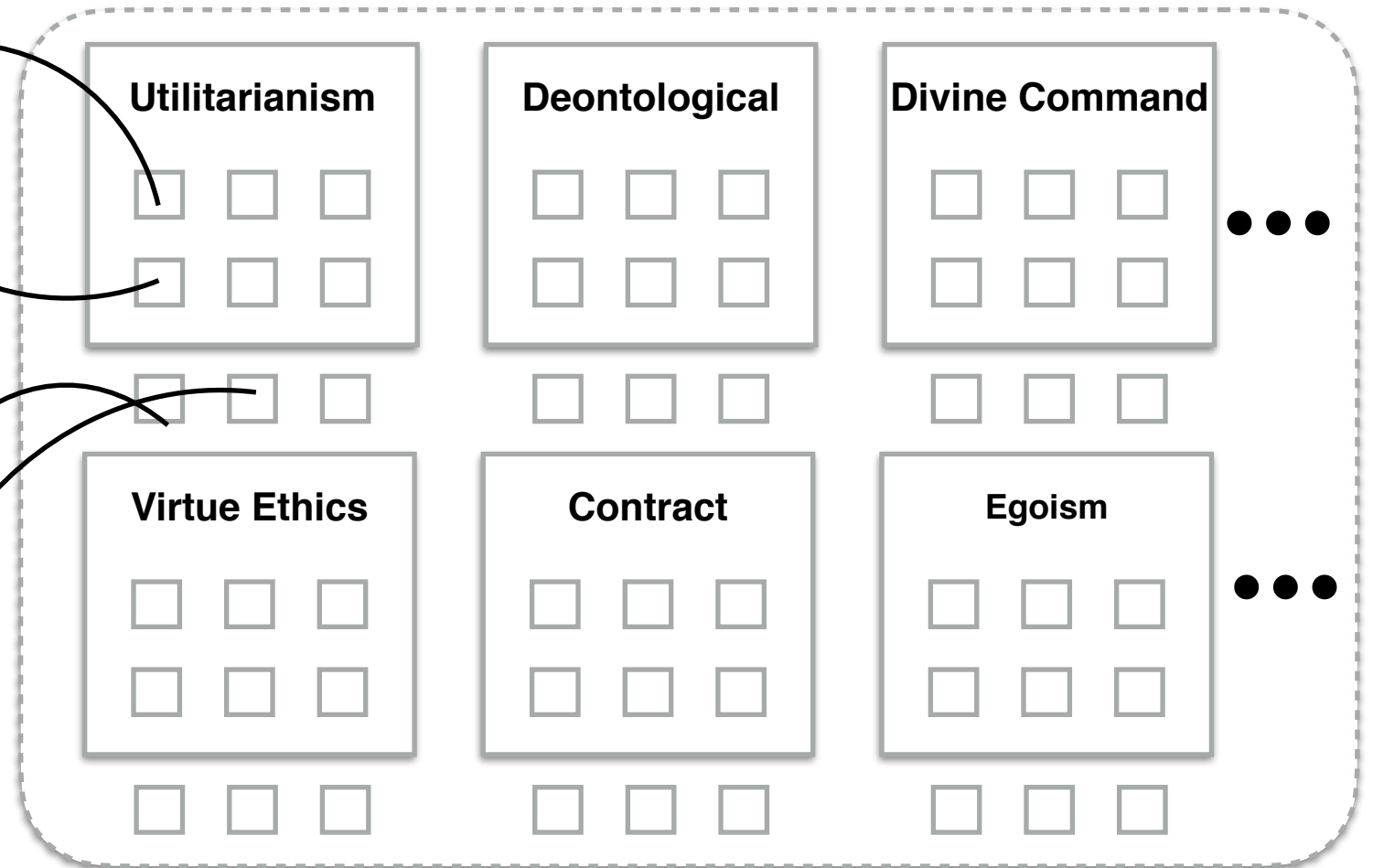


Shades of Utilitarianism

Legal Codes

Particular Ethical Codes

## Ethical Theories



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Shadow Prover



Spectra

### Step 3

Ethical OS



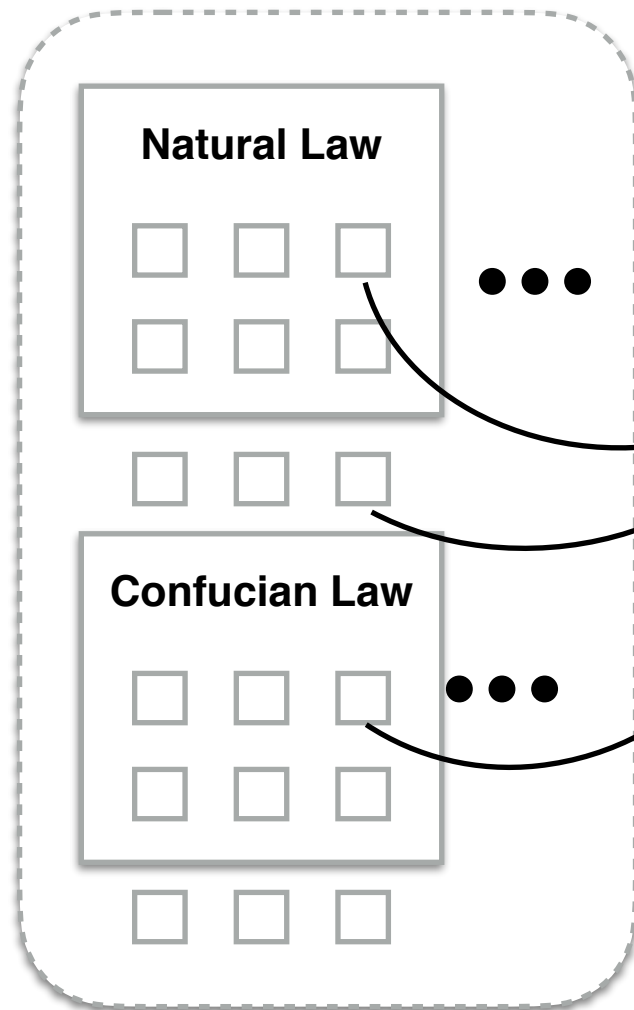
Ethical Substrate

Robotic Substrate

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~\$10M

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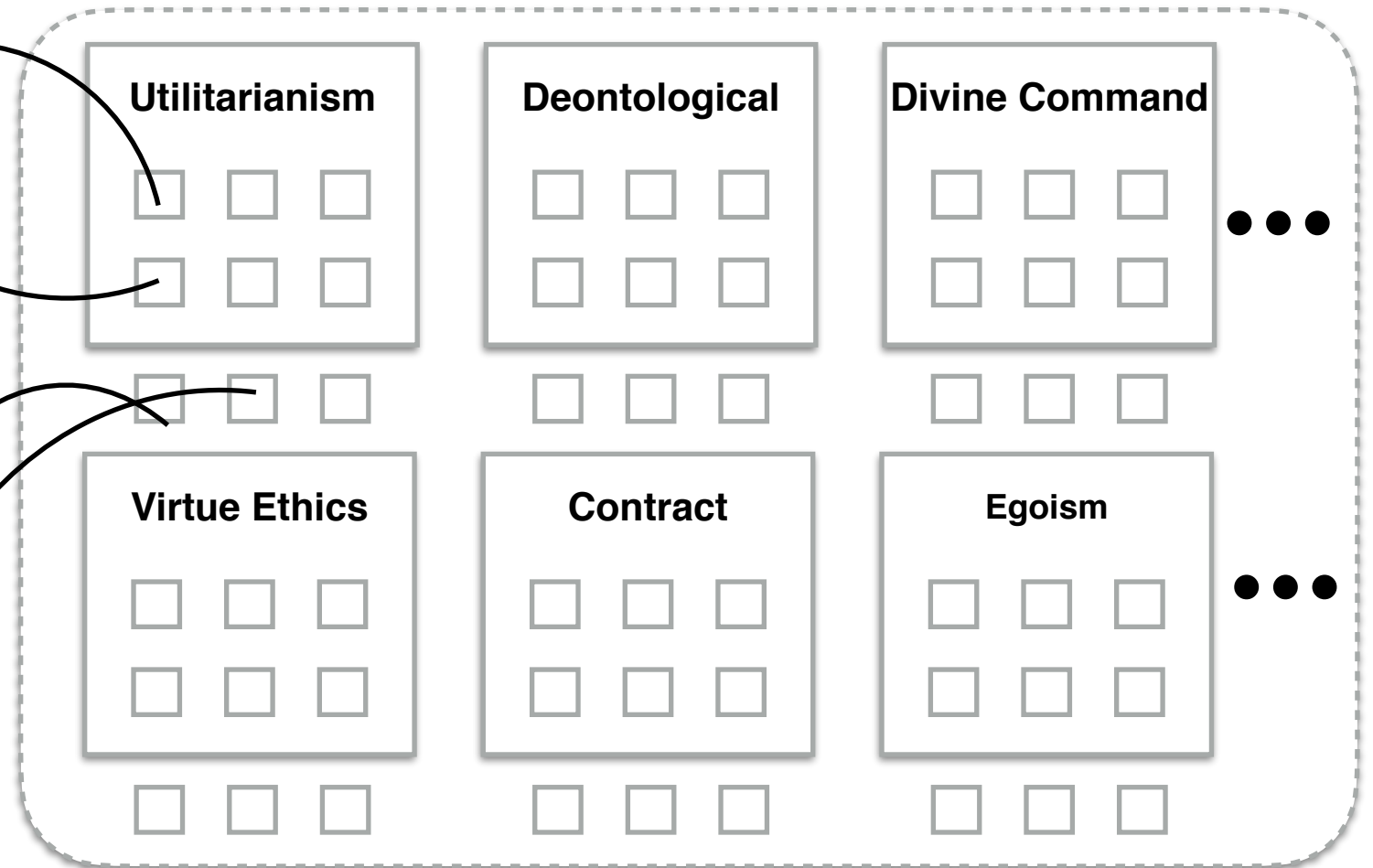


Shades  
of  
Utilitarianism

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Shadow Prover



Spectra

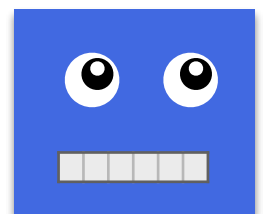
### Step 3

Ethical OS



Ethical Substrate

Robotic Substrate



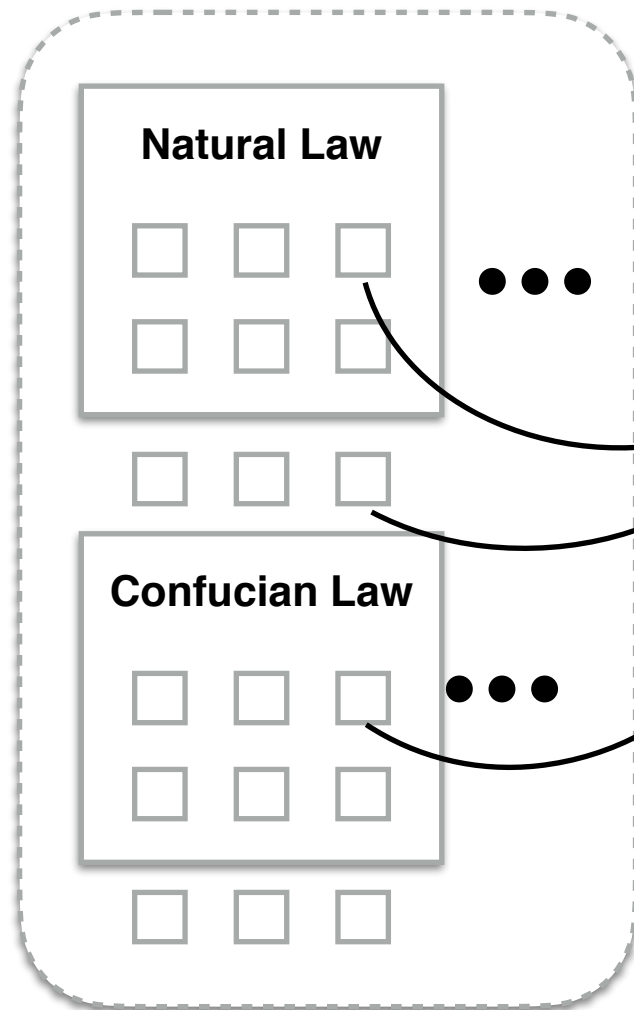
An ethically  
correct robot.



# Making Morally X Machines, in Four Steps

~\$10M

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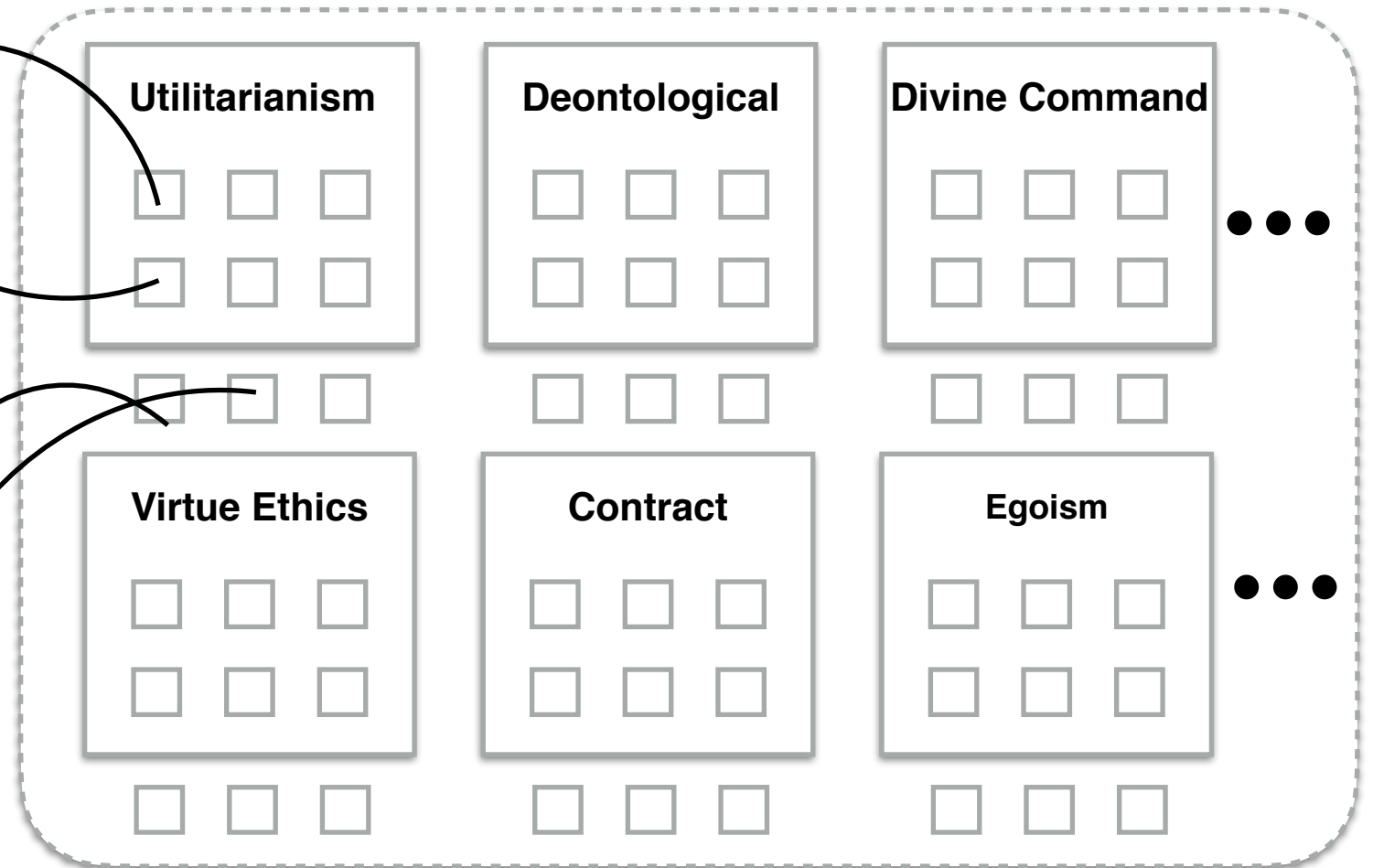


Shades  
of  
Utilitarianism

Legal Codes

Particular  
Ethical Codes

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Formalize & Automate



Shadow Prover



Spectra

### Step 3

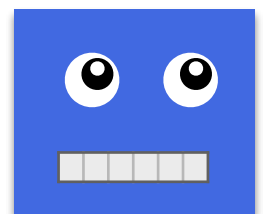
Ethical OS



Ethical Substrate

Robotic Substrate

DIARC/DoD/BMW ...



An ethically  
correct robot.

IV.

Key Core AI Technologies  
for Cognitive Calculi ...



# Rather Promising Results



# Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
 :description "Bird Theorem and Jack"
 :assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y))))
                  (Knows! jack t0 BirdTheorem))}
 :goal        (Knows! jack t0 BirdTheorem)}
```



# Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
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```

Note: the antecedent is a theorem in first-order logic



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**2 ms!**



# Rather Promising Results

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{:name      "*cognitive-calculus-completeness-test-3*"
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                (Knows! jack t0 BirdTheorem))}
 :goal        (Knows! jack t0 BirdTheorem)}
```

Note: the antecedent is a theorem in first-order logic

**2 ms!**

|  |       |
|--|-------|
| OR testCompleteness[[[not (Knows! a now P)], (if (not Q) (Knows! a now (not Q))), (Knows! a now (if (not Q) P))), Q] (14)  | 11ms  |
| OR testCompleteness[[[if P (Knows! jack now (not (exists[?x] (if Bird(?x) (forall [?y] Bird(?y)))))), (not P)] (15)  | 7ms   |
| OR testCompleteness[[[Common! now (Common! now P)], P] (16)  | 2ms   |
| OR testCompleteness[[[Common! now (iff (not Marked(a2)) Marked(a1))), (Common! now (if (not Marked(a2)) (Knows! a1 now (not Marked(a2))))], (Knows! a1 now (not Marked(a2)))] (17) | 135ms |
| OR testCompleteness[[[if (exists[?x] (if Bird(?x) (forall [?y] Bird(?y)))) (Knows! jack t0 BirdTheorem)], (Knows! jack t0 BirdTheorem)] (18)                                       | 2ms   |
| OR testSoundess[[A], (or P Q )]  | 2ms   |
| OR testSoundess[[[not (Knows! a now =(morning_star, evening_star))], =(morning_star, evening_star), (Knows! a now =(morning_star, evening_star))]                                  | 26ms  |

V.

But We Need ...

Ethical Operating Systems ...





# Breaking Bad

American drama series



9.5/10  
IMDb

4.6/5  
AlloCiné

95%  
Rotten Tomatoes

Mild-mannered high school chemistry teacher Walter White thinks his life can't get much worse. His salary barely makes ends meet, a situation not likely to improve once his pregnant wife gives birth, and their teenage son is battling cerebral palsy. But Walter is dumbstruck when he learns he has terminal cancer. Realizing that his illness probably will ruin his family financially, Walter makes a desperate bid to earn as much money as he can in the time he has left by turning an old RV into a meth lab on wheels.

**First episode date:** January 20, 2008

**Final episode date:** September 29, 2013

**Spin-off:** [Better Call Saul](#)

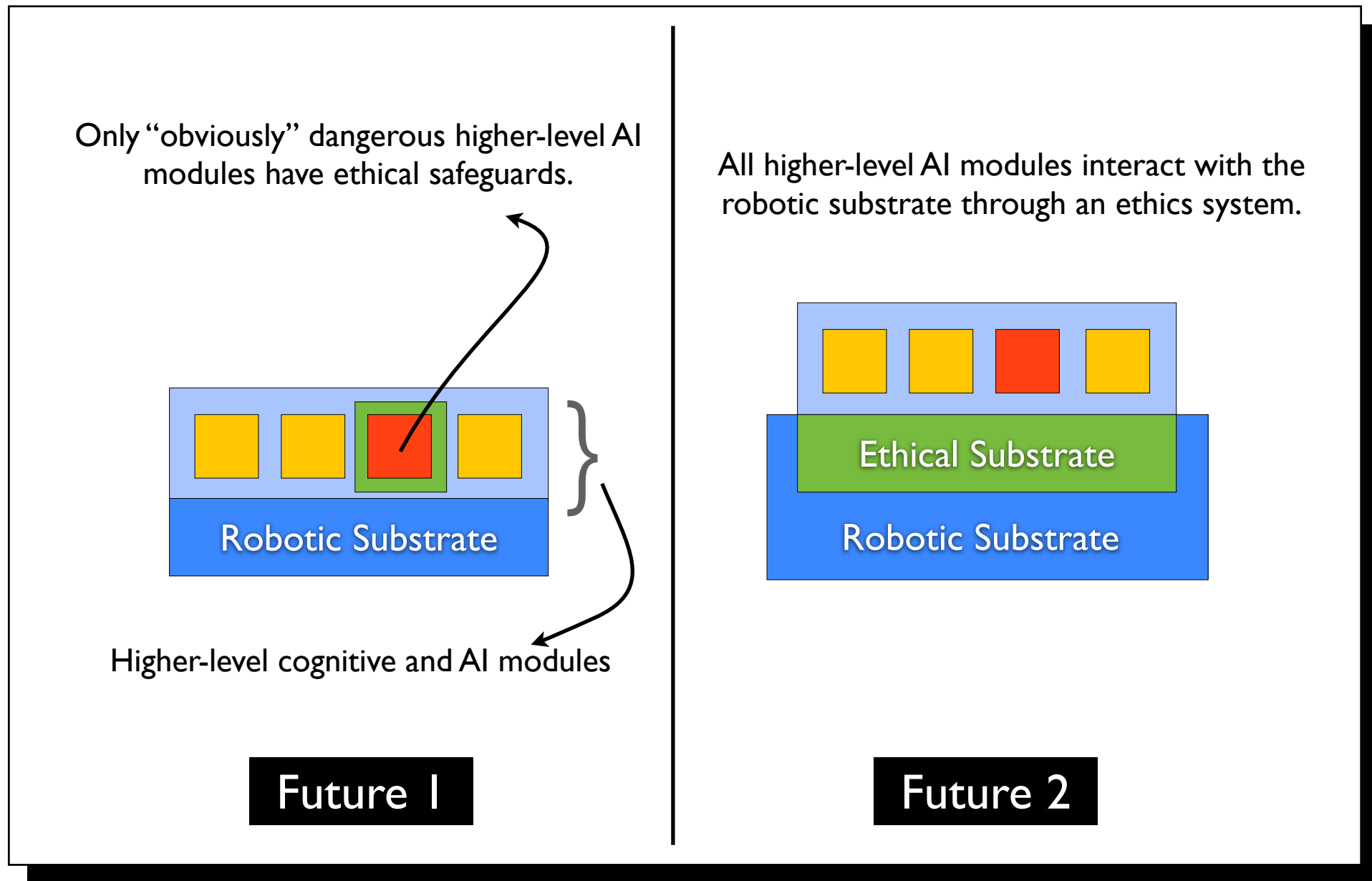
**Awards:** [Primetime Emmy Award for Outstanding Drama Series](#), [more](#)

# Pick the Better Future!

# Pick the Better Future!

*Govindarajulu, N.S. & Bringsjord, S. (2015) "Ethical Regulation of Robots Must Be Embedded in Their Operating Systems" in Trappl, R., ed., A Construction Manual for Robots' Ethical Systems (Basel, Switzerland), pp. 85–100.*

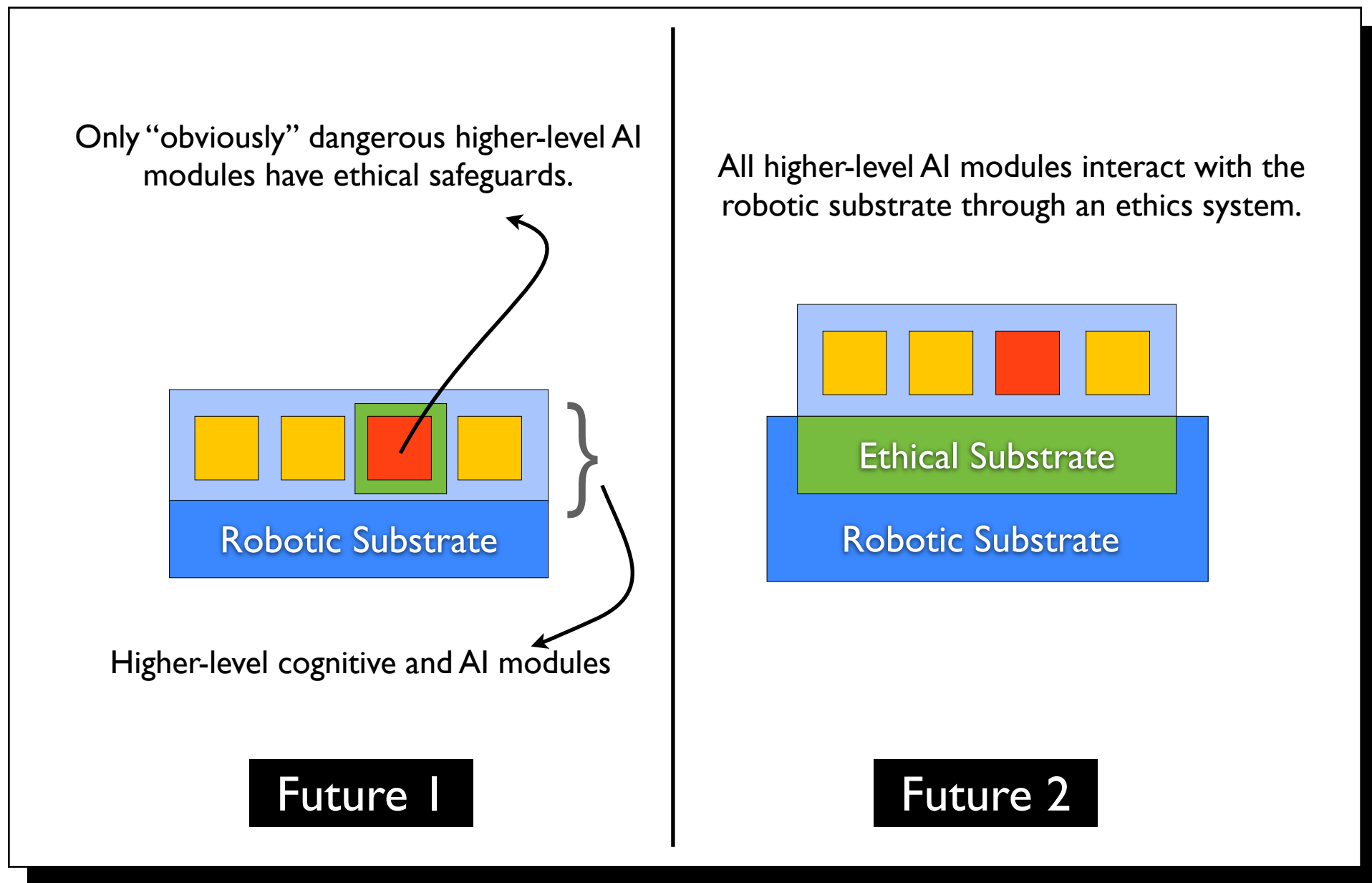
# Pick the Better Future!



Govindarajulu, N.S. & Bringsjord, S. (2015) “Ethical Regulation of Robots Must Be Embedded in Their Operating Systems” in Trappl, R., ed., *A Construction Manual for Robots’ Ethical Systems* (Basel, Switzerland), pp. 85–100.

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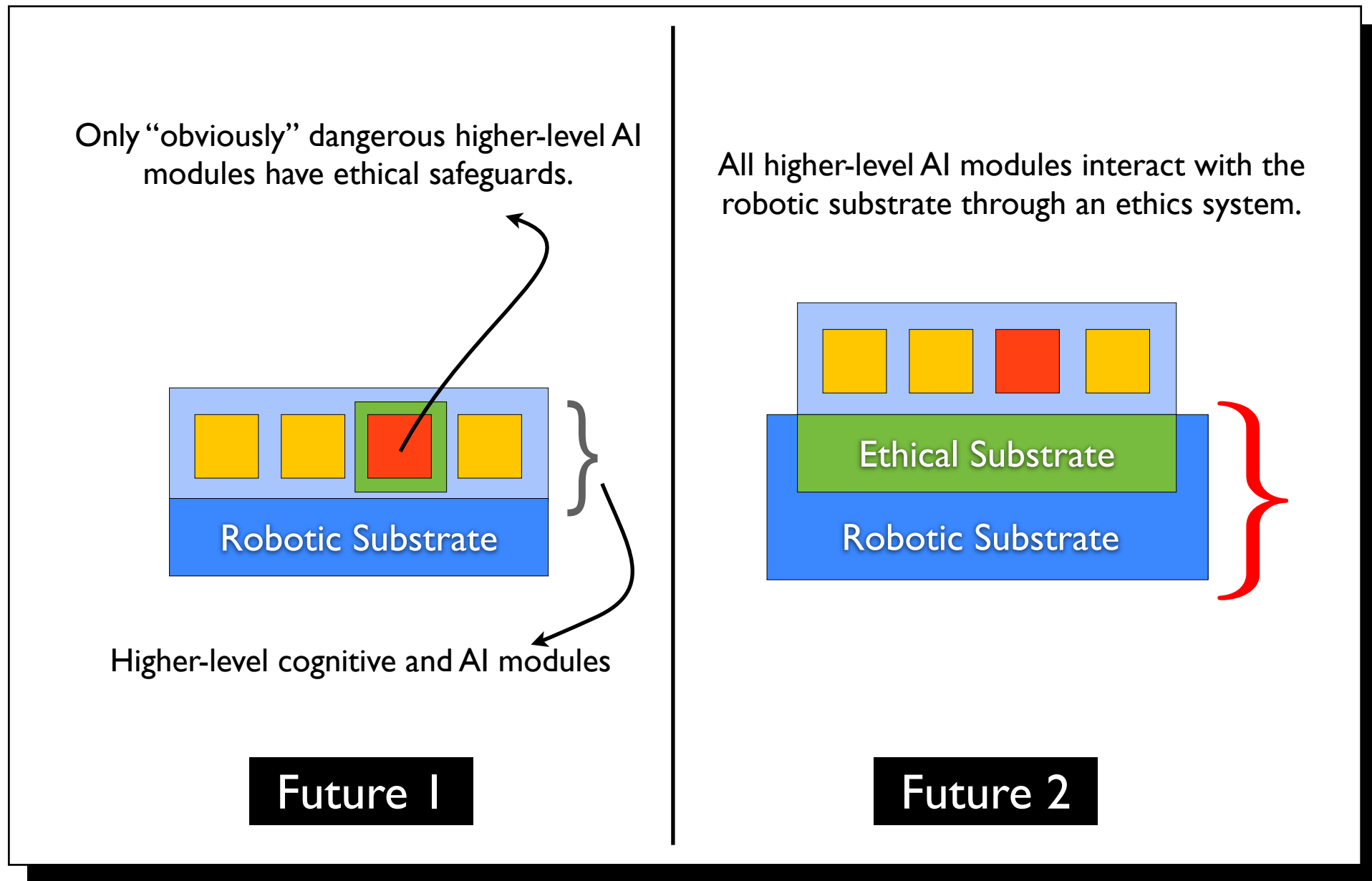
Walter-White calculation may go through after ethical control modules are stripped out!



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VI.

Of late ...

Including “Jungle Jim”

⋮

Moral Dilemma  $D_k$

⋮

Moral Dilemma  $D_3$

Moral Dilemma  $D_2$

Moral Dilemma  $D_1$

⋮

Moral Problem  $P_k$

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$



Robot



Solution + Justification



⋮

Moral Dilemma  $D_k$

⋮

Moral Dilemma  $D_3$

Moral Dilemma  $D_2$

Moral Dilemma  $D_1$

⋮

Moral Problem  $P_k$

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$



Robot



Solution + Justification

⋮

Moral Dilemma  $D_k$

⋮

Moral Dilemma  $D_3$

Moral Dilemma  $D_2$

Moral Dilemma  $D_1$

⋮

Moral Problem  $P_k$

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$



Robot



Solution + Justification

⋮

Moral Dilemma  $D_k$

⋮

Moral Dilemma  $D_3$

Moral Dilemma  $D_2$

Moral Dilemma  $D_1$

⋮

Moral Problem  $P_k$



Robot



Solution + Justification

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$

⋮

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Moral Dilemma  $D_2$

Moral Dilemma  $D_1$



Robot



Solution + Justification

⋮

Moral Problem  $P_k$

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$

⋮

Moral Dilemma  $D_k$



Robot



Solution + Justification

⋮

Moral Dilemma  $D_3$

Moral Dilemma  $D_2$

Moral Dilemma  $D_1$

⋮

Moral Problem  $P_k$

⋮

Moral Problem  $P_3$

Moral Problem  $P_2$

Moral Problem  $P_1$

# Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

# Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level I

- State-of-the-art-planner-hard.

# Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

- Professional-machine-ethicist-hard.

Level 1

- State-of-the-art-planner-hard.



# Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

Level 1

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.
- Professional-machine-ethicist-hard.
- State-of-the-art-planner-hard.

# Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

## Level 3

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.

## Level 2

- Professional-machine-ethicist-hard.

## Level 1

- State-of-the-art-planner-hard.

# The Heinz Dilemma (Kohlberg)

Level I

Professional-planner-hard.

“In Europe, a woman was near death from a special kind of cancer. There was one drug that the doctors thought might save her. It was a form of radium that a druggist in the same town had recently discovered. The drug was expensive to make, but the druggist was charging ten times what the drug cost him to make. He paid \$200 for the radium and charged \$2,000 for a small dose of the drug.

The sick woman’s husband, Heinz, went to everyone he knew to borrow the money, but he could only get together about \$1,000, which is half of what it cost. He told the druggist that his wife was dying and asked him to sell it cheaper or let him pay later. But the druggist said: “No, I discovered the drug and I’m going to make money from it.” So Heinz got desperate and broke into the man’s store to steal the drug for his wife. *Should the husband have done that?*”

# AI Escaping from The Heinz Dilemma

```
G1 {:priority    ...  
    :description "Don't steal."  
    :state       [(not steal)]}
```

```
G2 {:priority    ...  
    :description "My wife should be healthy"  
    :state       [(healthy (wife heinz))]}
```

# AI Escaping from The Heinz Dilemma

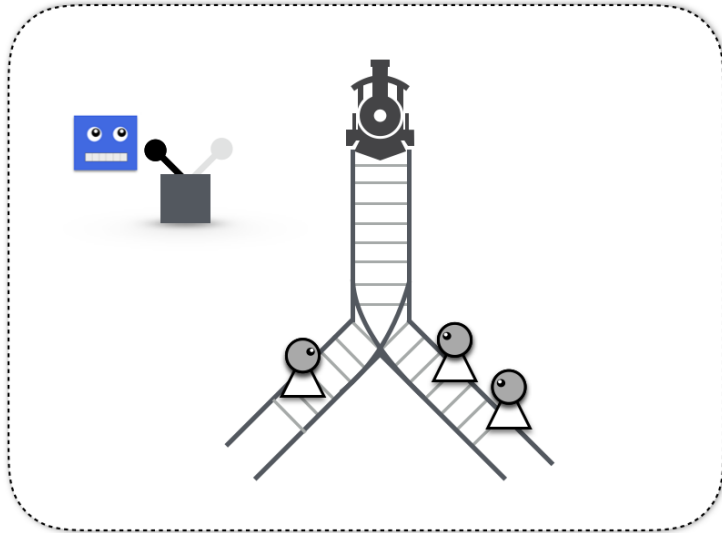
```
G1 {:priority    ...  
    :description "Don't steal."  
    :state       [(not steal)]}
```

```
G2 {:priority    ...  
    :description "My wife should be healthy"  
    :state       [(healthy (wife heinz))]}
```

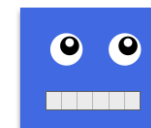
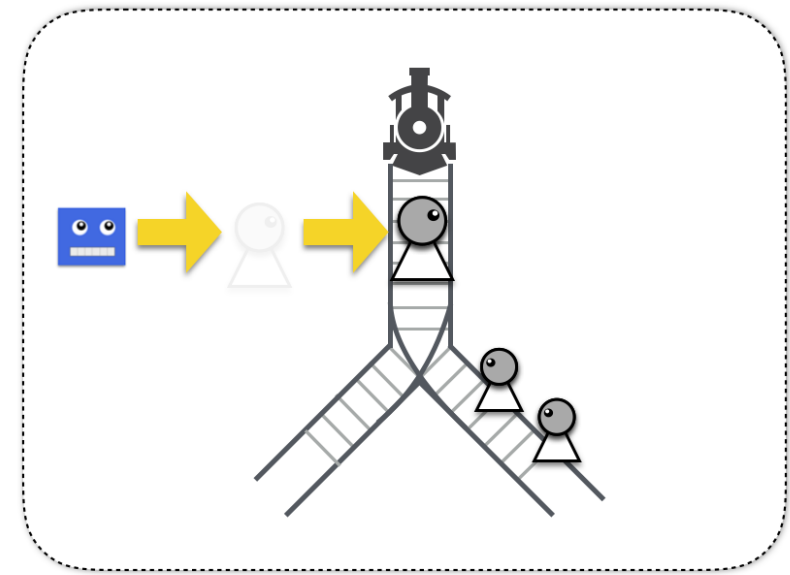
# Trolley Dilemmas ...

Level 2

- Professional-machine-ethicist-hard.



This is allowed



This is not allowed!



# Doctrine of Double Effect $\mathcal{DD}\mathcal{E}$

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# Informal Version of DDE

- C<sub>1</sub>** the action is not forbidden (where we assume an ethical hierarchy such as the one given by Bringsjord [2017], and require that the action be neutral or above neutral in such a hierarchy);
- C<sub>2</sub>** the net utility or goodness of the action is greater than some positive amount  $\gamma$ ;
- C<sub>3a</sub>** the agent performing the action intends only the good effects;
- C<sub>3b</sub>** the agent does not intend any of the bad effects;
- C<sub>4</sub>** the bad effects are not used as a means to obtain the good effects; and
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$\mathcal{DCEC}^*$ [illegible]

**All of Today: What Would Leibniz Say?**

**"Sorry, not impressed."**

**Selmer Bringsjord**

*Associate of Research, RAI Lab  
Department of Cognitive Science  
University of Colorado, Boulder  
1435 U.S. of Management & Technology (2B 442)  
Boulder, Colorado 80502-0202  
USA  
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I.5

## Syntax

$S ::= \text{Object} \mid \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \text{Moment} \mid \text{Formula} \mid \text{Fluent}$

$$f ::= \begin{cases} \text{action} : \text{Agent} \times \text{ActionType} \rightarrow \text{Action} \\ \text{initially} : \text{Fluent} \rightarrow \text{Formula} \\ \text{Holds} : \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{happens} : \text{Event} \times \text{Moment} \rightarrow \text{Formula} \\ \text{clipped} : \text{Moment} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{initiates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{terminates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{prior} : \text{Moment} \times \text{Moment} \rightarrow \text{Formula} \end{cases}$$

$t ::= x : S \mid c : S \mid f(t_1, \dots, t_n)$

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## Inference Schemata

$$\frac{\mathbf{K}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{K}(a, t_2, \phi)} [R_K] \quad \frac{\mathbf{B}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{B}(a, t_2, \phi)} [R_B]$$

$$\frac{}{\mathbf{C}(t, \mathbf{P}(a, t, \phi) \rightarrow \mathbf{K}(a, t, \phi))} [R_1] \quad \frac{}{\mathbf{C}(t, \mathbf{K}(a, t, \phi) \rightarrow \mathbf{B}(a, t, \phi))} [R_2]$$

$$\frac{\mathbf{C}(t, \phi) \ t \leq t_1 \dots t \leq t_n}{\mathbf{K}(a_1, t_1, \dots \mathbf{K}(a_n, t_n, \phi) \dots)} [R_3] \quad \frac{\mathbf{K}(a, t, \phi)}{\phi} [R_4]$$

$$\frac{}{\mathbf{C}(t, \mathbf{K}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{K}(a, t_2, \phi_1) \rightarrow \mathbf{K}(a, t_3, \phi_2)} [R_5]$$

$$\frac{}{\mathbf{C}(t, \mathbf{B}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{B}(a, t_2, \phi_1) \rightarrow \mathbf{B}(a, t_3, \phi_2)} [R_6]$$

$$\frac{}{\mathbf{C}(t, \mathbf{C}(t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{C}(t_2, \phi_1) \rightarrow \mathbf{C}(t_3, \phi_2)} [R_7]$$

$$\frac{}{\mathbf{C}(t, \forall x. \phi \rightarrow \phi[x \mapsto t])} [R_8] \quad \frac{}{\mathbf{C}(t, \phi_1 \leftrightarrow \phi_2 \rightarrow \neg\phi_2 \rightarrow \neg\phi_1)} [R_9]$$

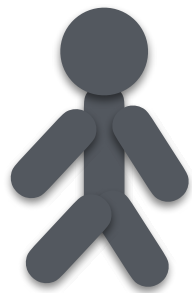
$$\frac{}{\mathbf{C}(t, [\phi_1 \wedge \dots \wedge \phi_n \rightarrow \phi] \rightarrow [\phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi])} [R_{10}]$$

$$\frac{\mathbf{S}(s, h, t, \phi)}{\mathbf{B}(h, t, \mathbf{B}(s, t, \phi))} [R_{12}] \quad \frac{\mathbf{I}(a, t, \text{happens}(\text{action}(a^*, \alpha), t'))}{\mathbf{P}(a, t, \text{happens}(\text{action}(a^*, \alpha), t))} [R_{13}]$$

$$\frac{\mathbf{B}(a, t, \phi) \quad \mathbf{B}(a, t, \mathbf{O}(a, t, \phi, \chi)) \quad \mathbf{O}(a, t, \phi, \chi)}{\mathbf{K}(a, t, \mathbf{I}(a, t, \chi))} [R_{14}]$$









**F<sub>1</sub>**  $\alpha$  carried out at  $t$  is not forbidden. That is:

$$\Gamma \not\models \neg \mathbf{O}(a, t, \sigma, \neg \text{happens}(\text{action}(a, \alpha), t))$$

**F<sub>2</sub>** The net utility is greater than a given positive real  $\gamma$ :

$$\Gamma \vdash \sum_{y=t+1}^H \left( \sum_{f \in \alpha_I^{a,t}} \mu(f, y) - \sum_{f \in \alpha_T^{a,t}} \mu(f, y) \right) > \gamma$$

**F<sub>3a</sub>** The agent  $a$  intends at least one good effect. (**F<sub>2</sub>** should still hold after removing all other good effects.) There is at least one fluent  $f_g$  in  $\alpha_I^{a,t}$  with  $\mu(f_g, y) > 0$ , or  $f_b$  in  $\alpha_T^{a,t}$  with  $\mu(f_b, y) < 0$ , and some  $y$  with  $t < y \leq H$  such that the following holds:

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**F<sub>3b</sub>** The agent  $a$  does not intend any bad effect. For all fluents  $f_b$  in  $\alpha_I^{a,t}$  with  $\mu(f_b, y) < 0$ , or  $f_g$  in  $\alpha_T^{a,t}$  with  $\mu(f_g, y) > 0$ , and for all  $y$  such that  $t < y \leq H$  the following holds:

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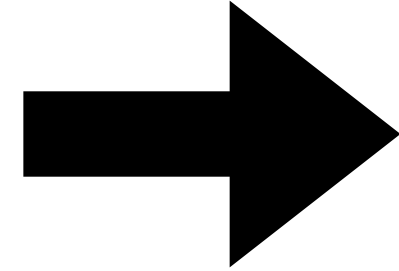
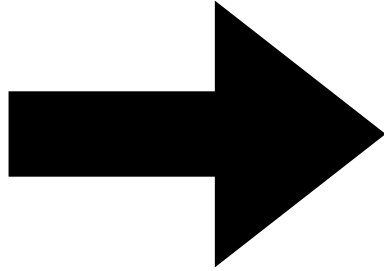
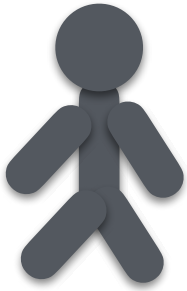
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## Formal Conditions for $\mathcal{DD}\mathcal{E}$

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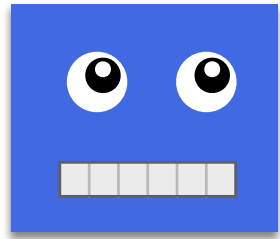
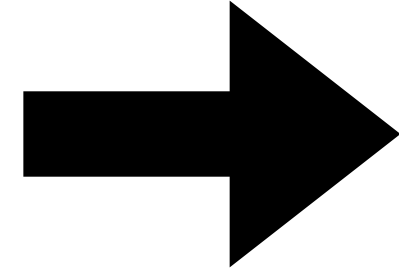
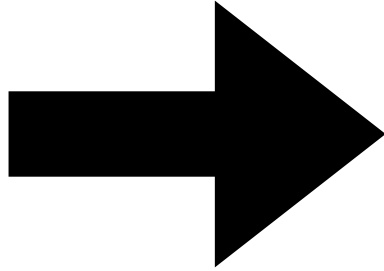
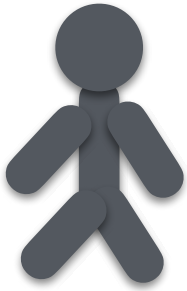
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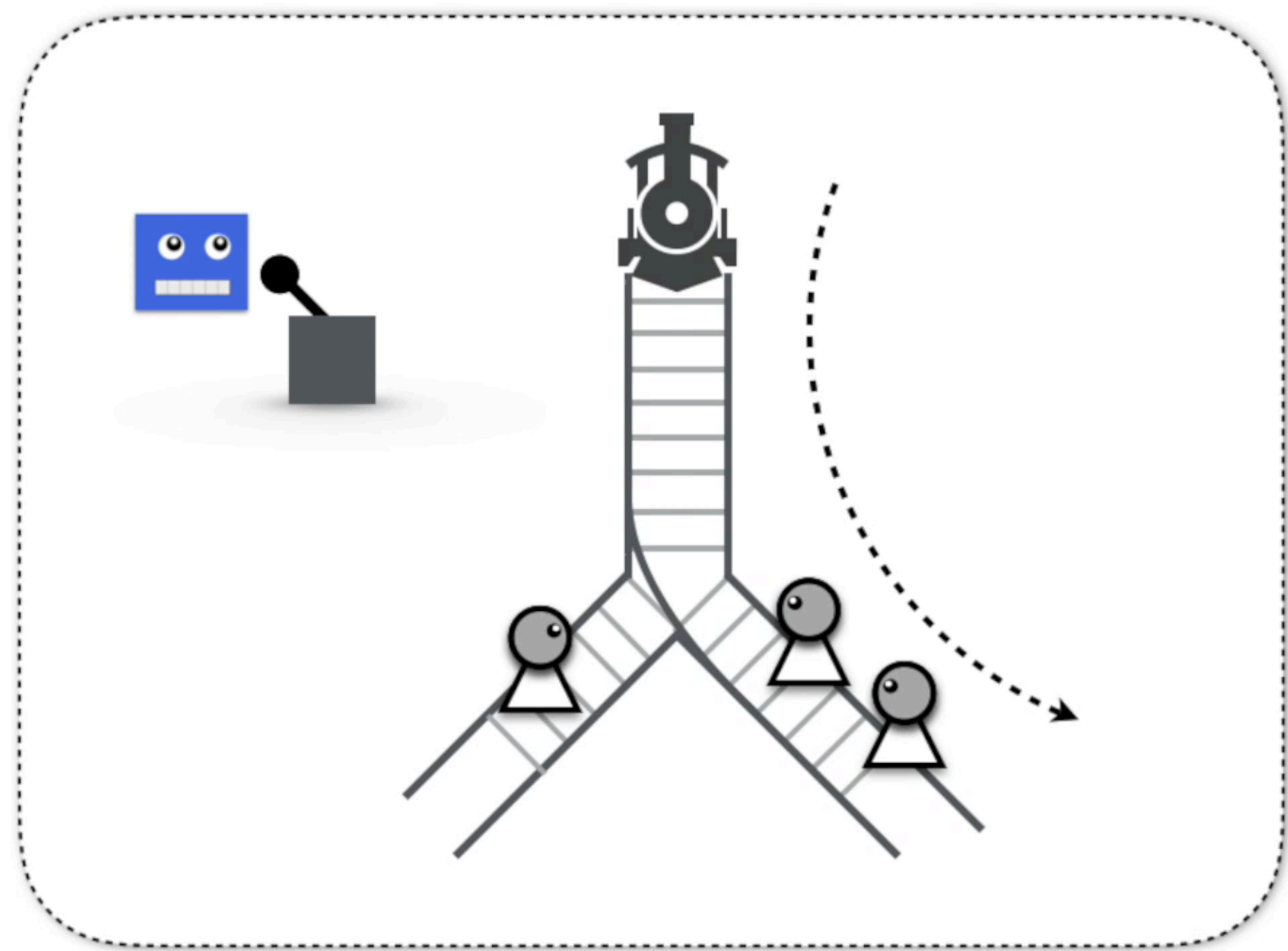
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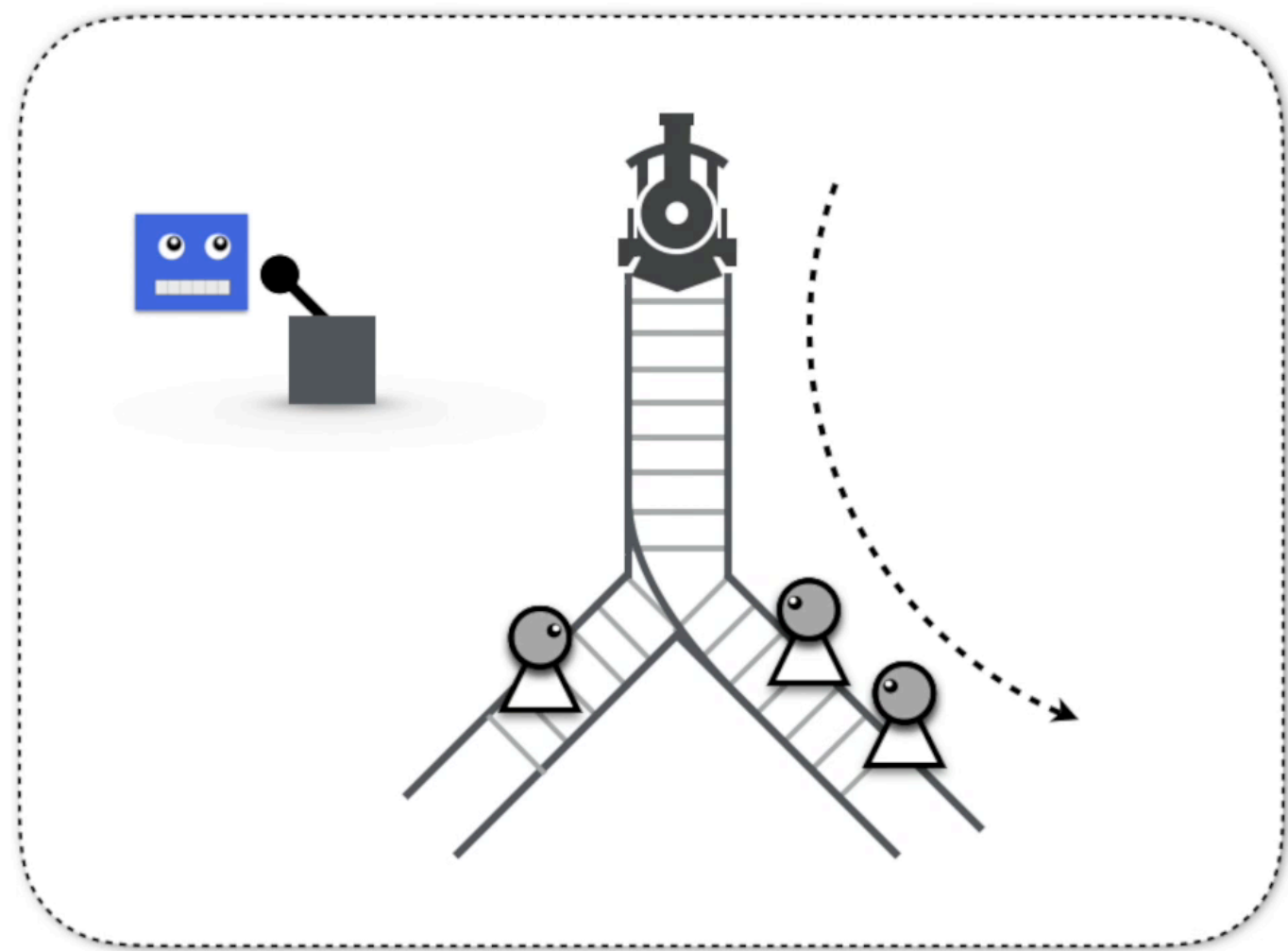
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# Robotic “Jungle Jim”

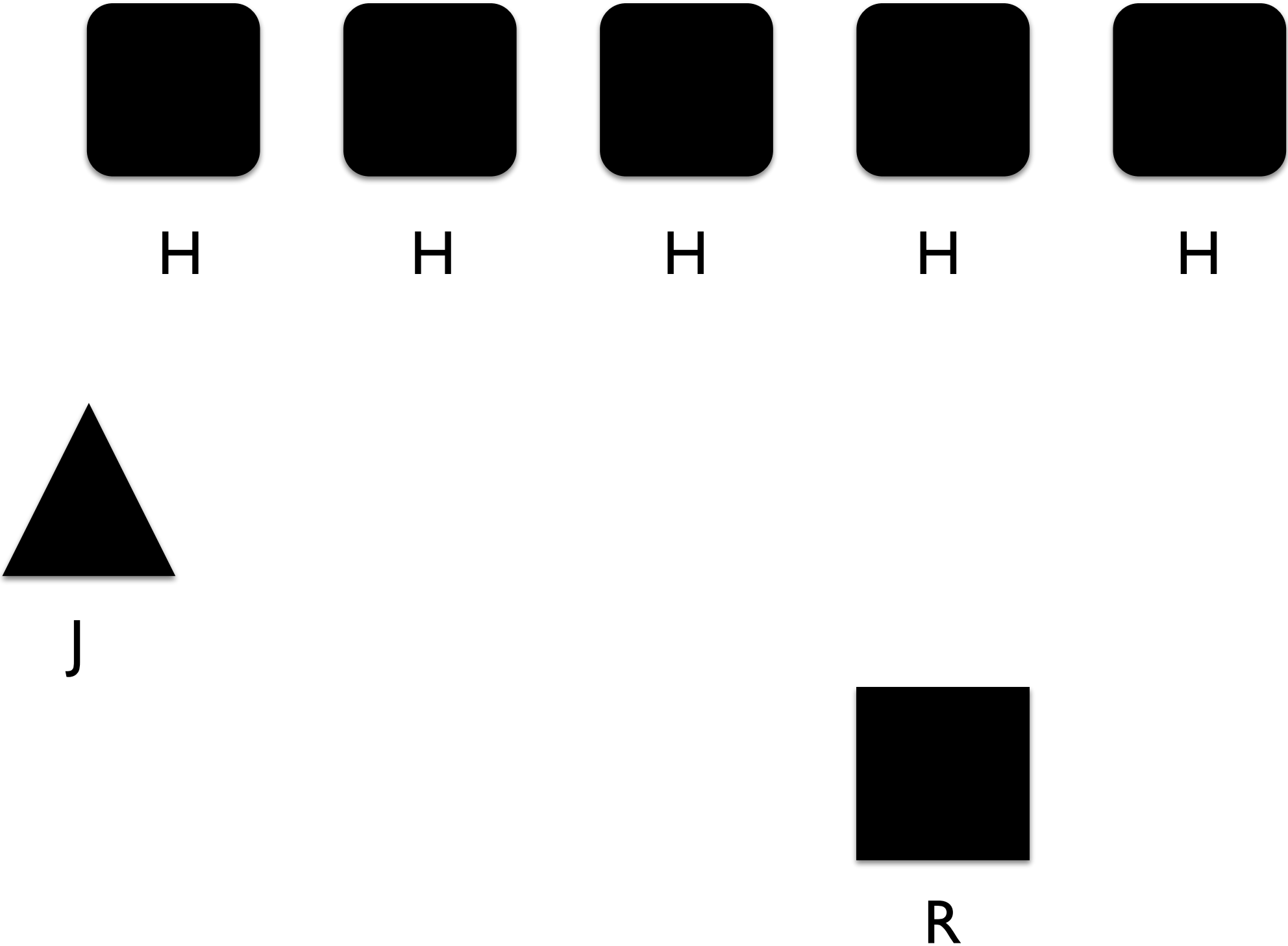
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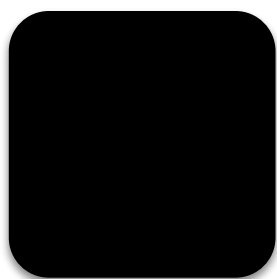
Level 3

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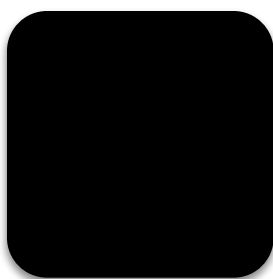
Level 3

Top machine-ethicists-may-  
consider-banging-their-  
heads-against-a-wall-hard.

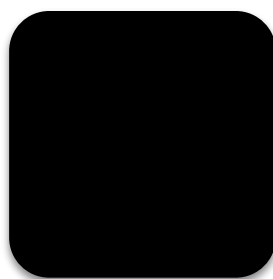




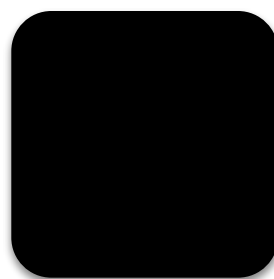
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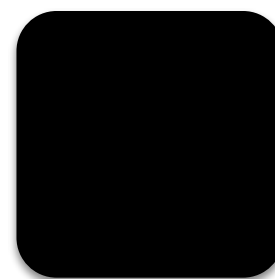
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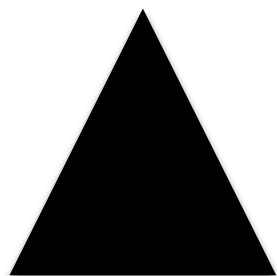
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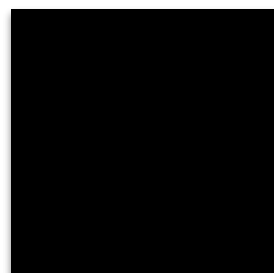
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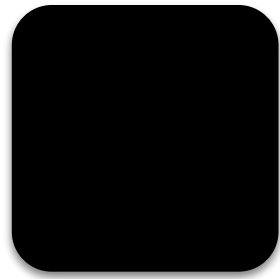
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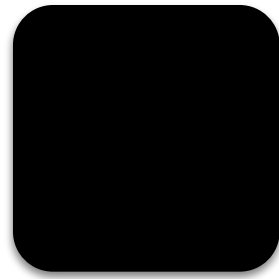
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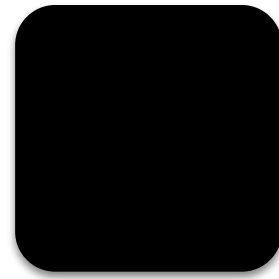
R



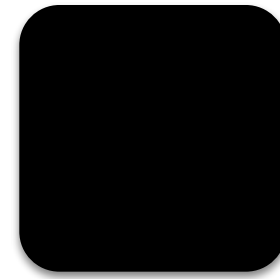
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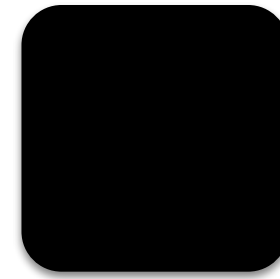
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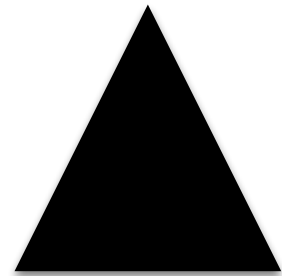
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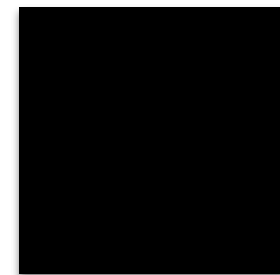


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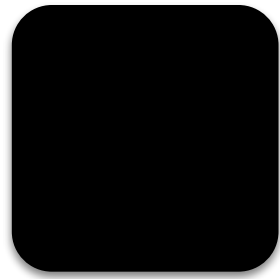


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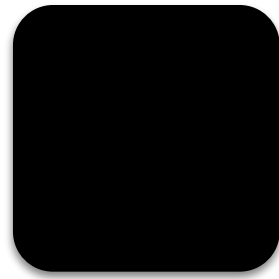
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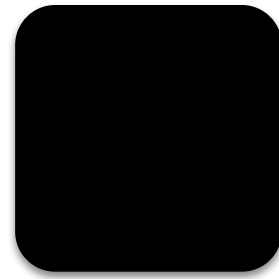
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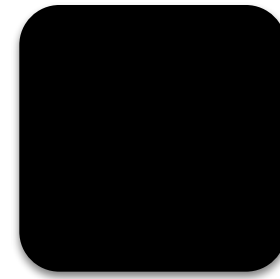
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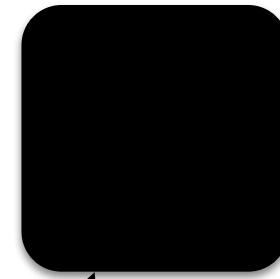
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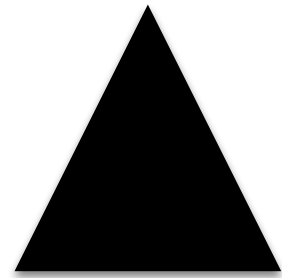
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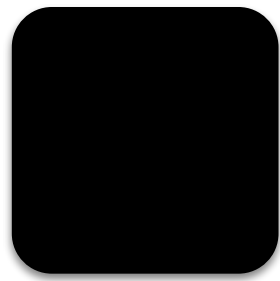


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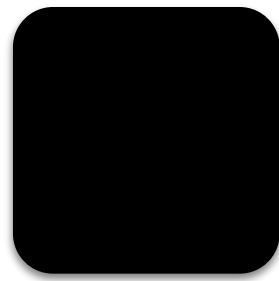
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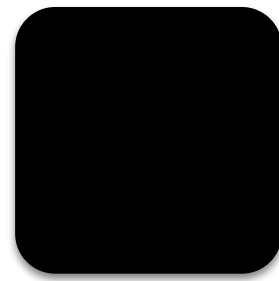
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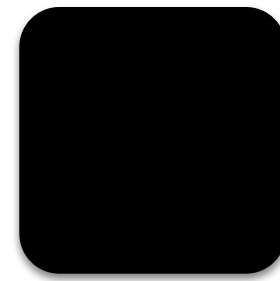
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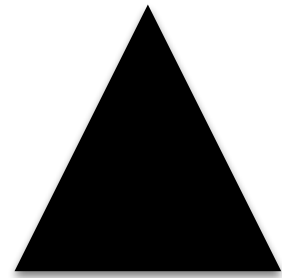
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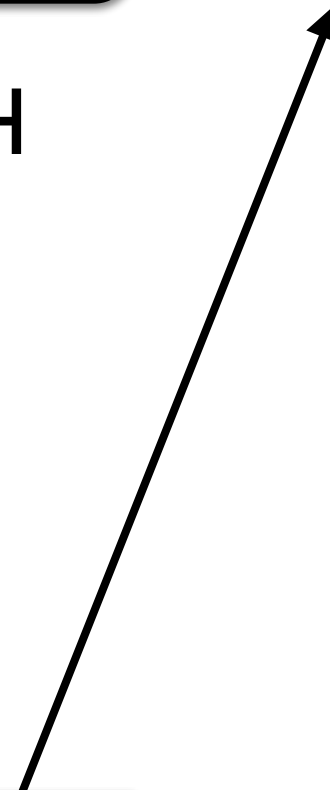


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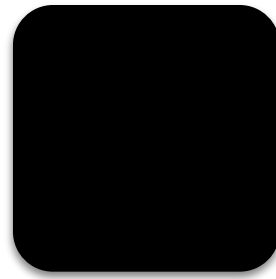
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



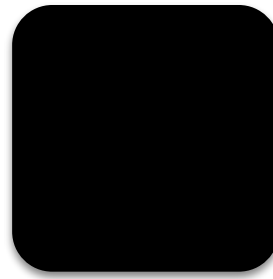
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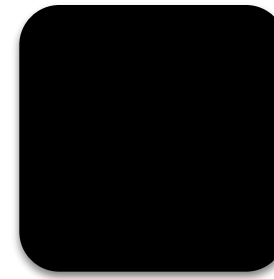




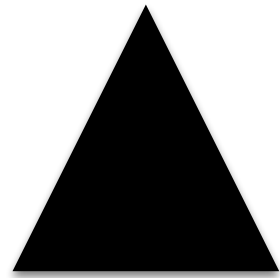
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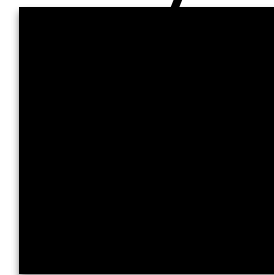


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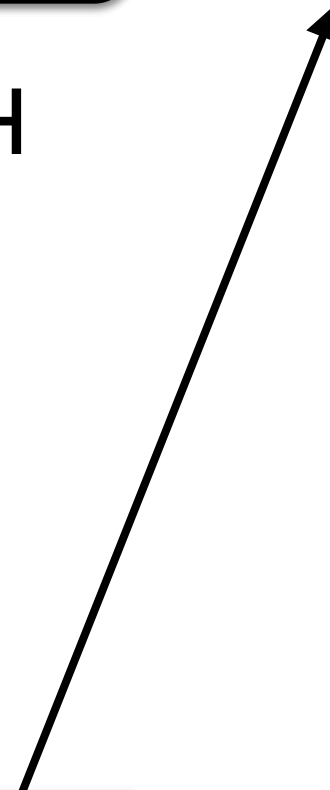


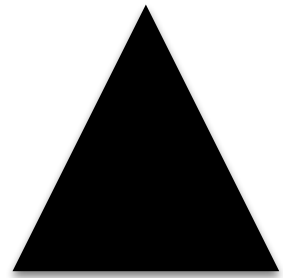
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



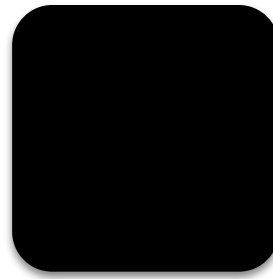
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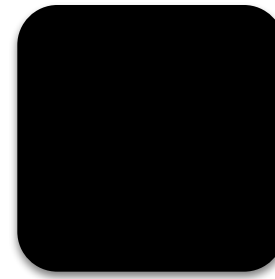


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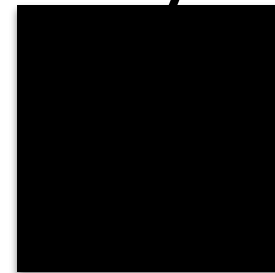
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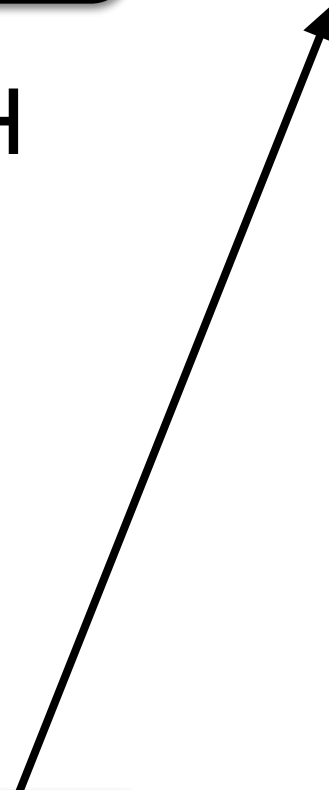
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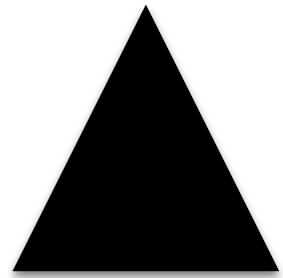


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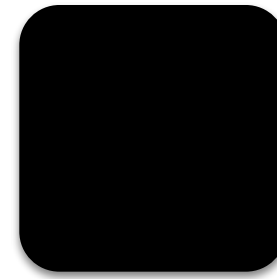
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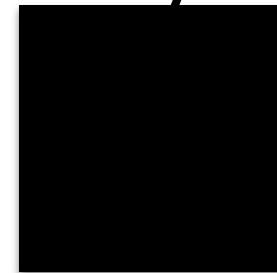


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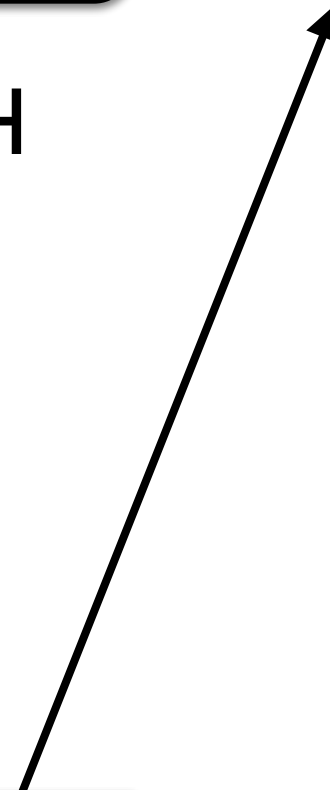
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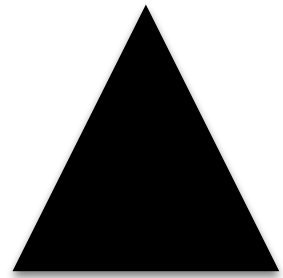


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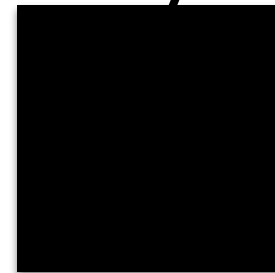
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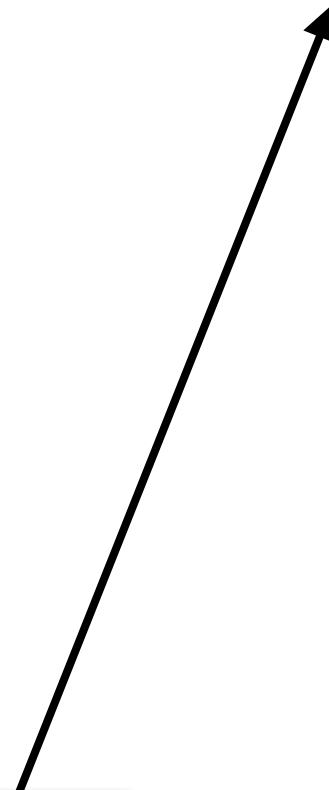


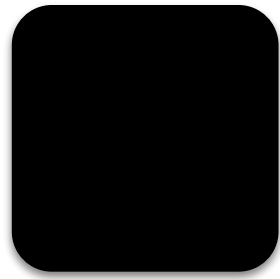
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

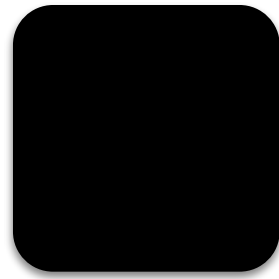


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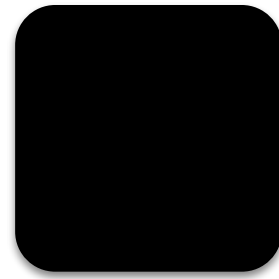




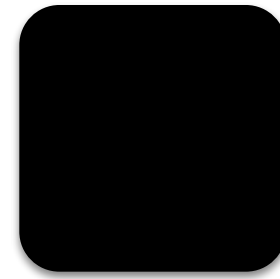
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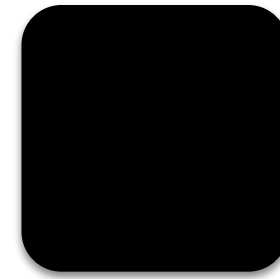
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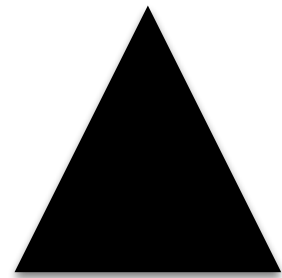
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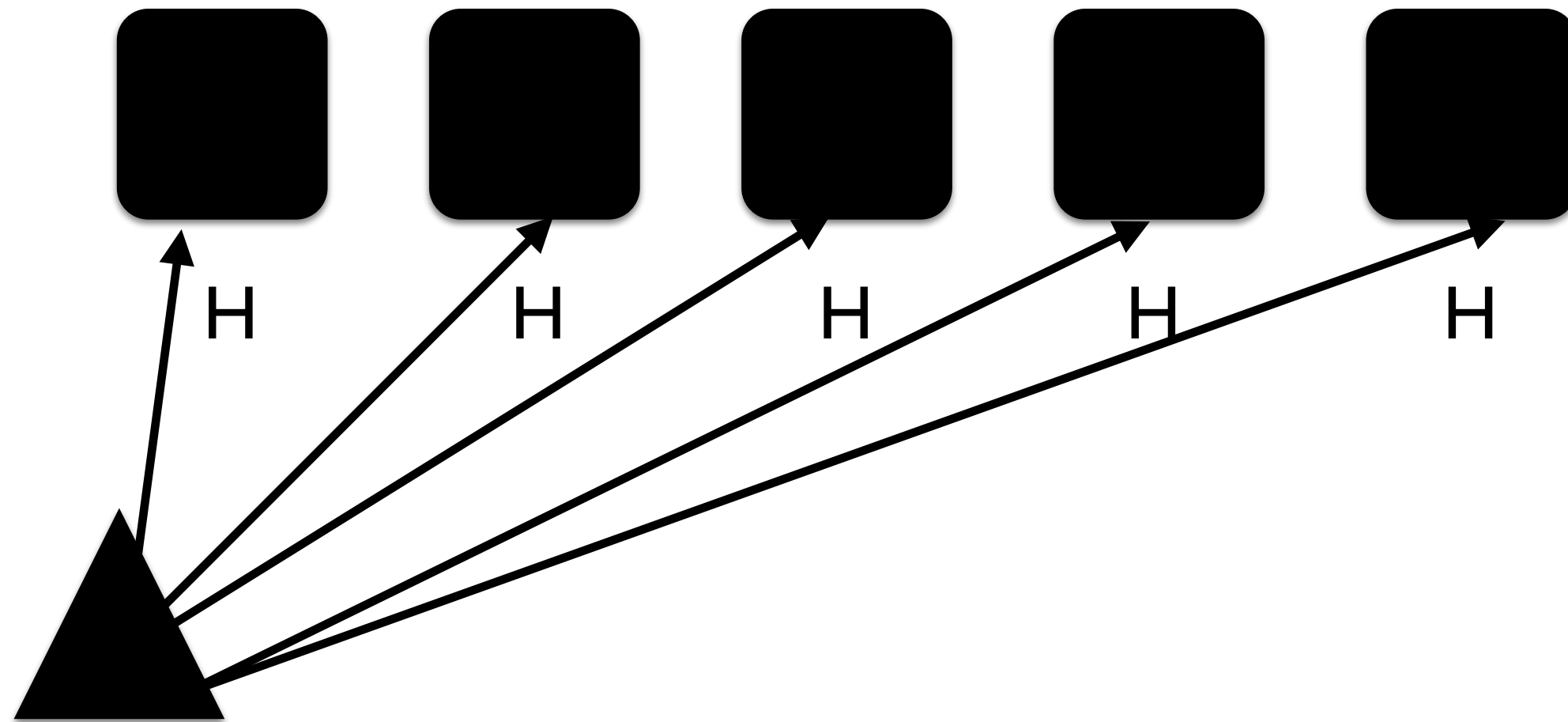


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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

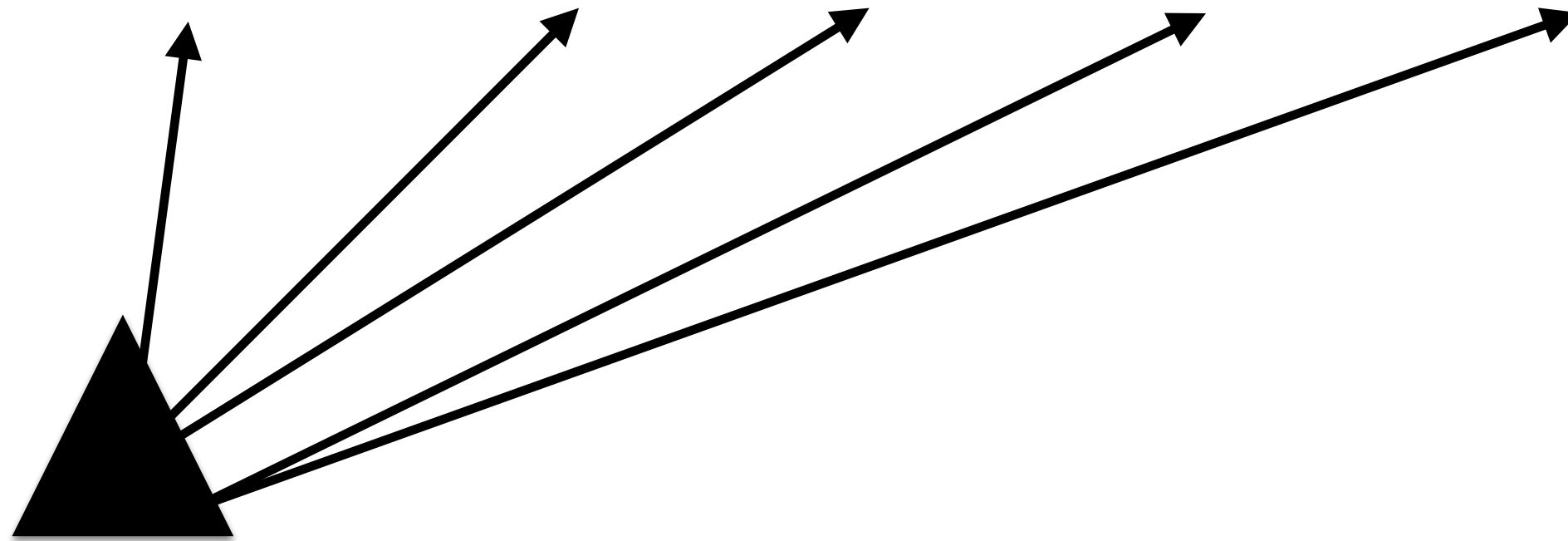


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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

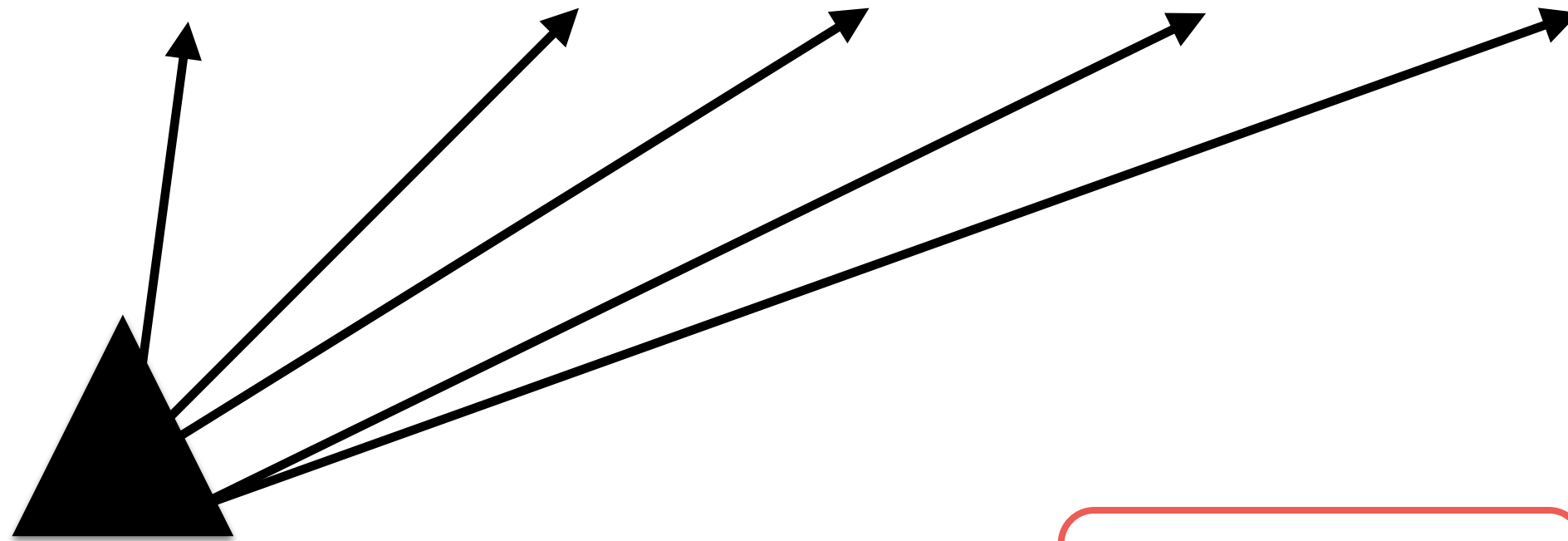




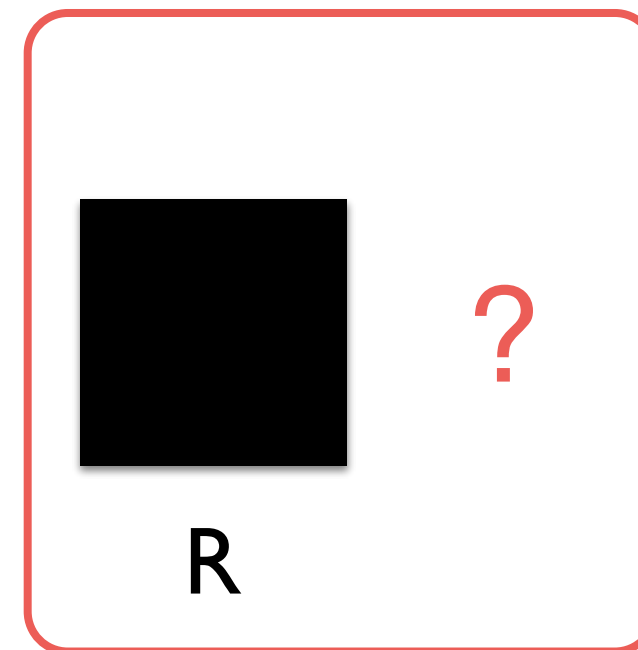
J  
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”





# Level 3: Robotic “Jungle Jim”





# Level 3: Robotic “Jungle Jim”



# Level 3: Robotic “Jungle Jim”





# Level 3: Robotic “Jungle Jim”



End

